PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:	09/334,530	Confirmation No.:	5424
Applicant:	Korbin S. Van Dyke, et al.		
Title:	PROFILING EXECUTION OF COMPUTER PROGRAMS		
Filed:	June 16, 1999	Art Unit:	2122
Atty Docket:	114596-10-4017	Examiner:	Chameli Das

TRANSMITTAL OF FORMAL DRAWINGS

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Enclosed are 32 sheets of formal drawings.

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Respectfully submitted,

Dated: July 14, 2004

By: _____
David E. Boundy
Registration No. 36,461

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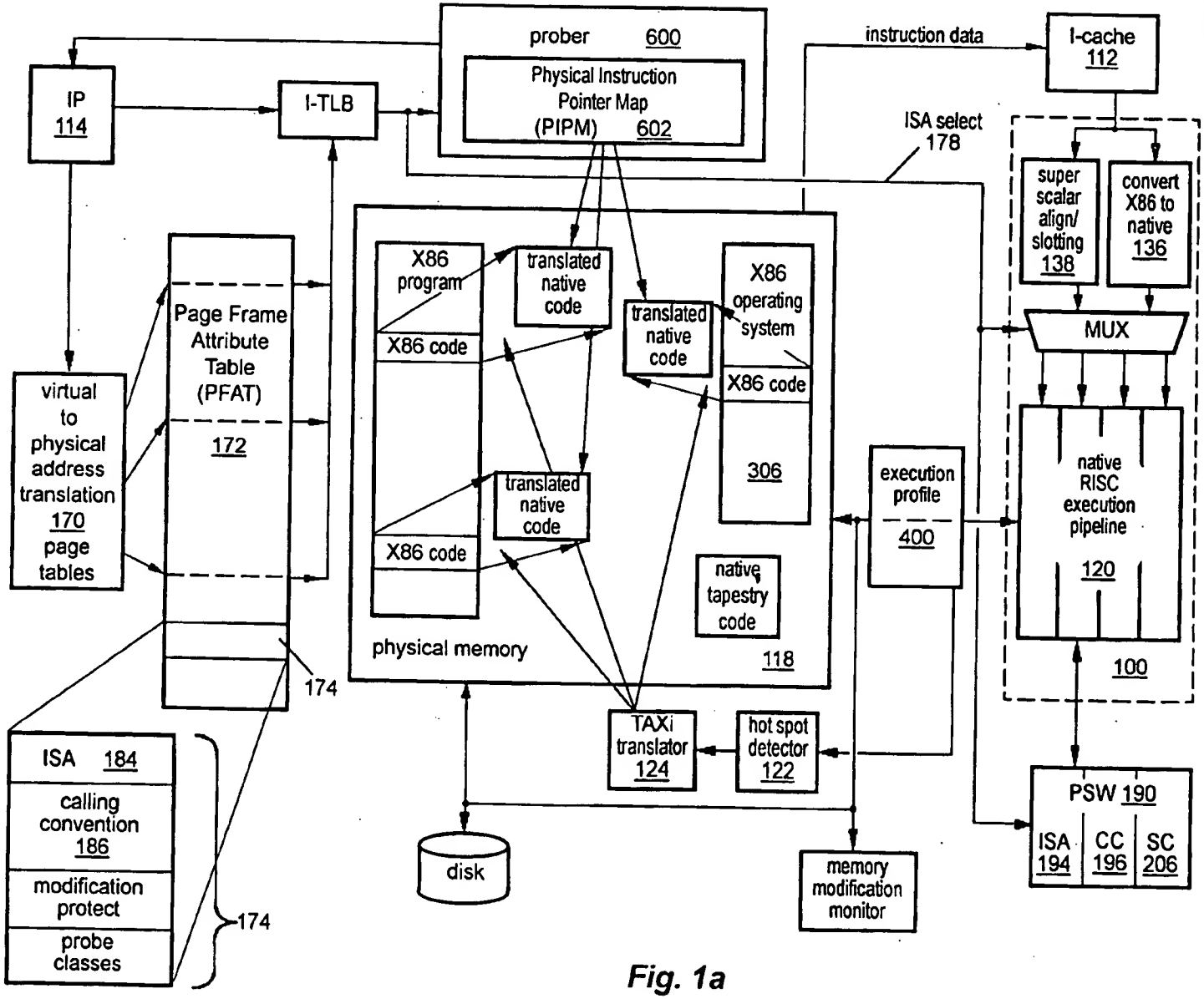

Fig. 1a

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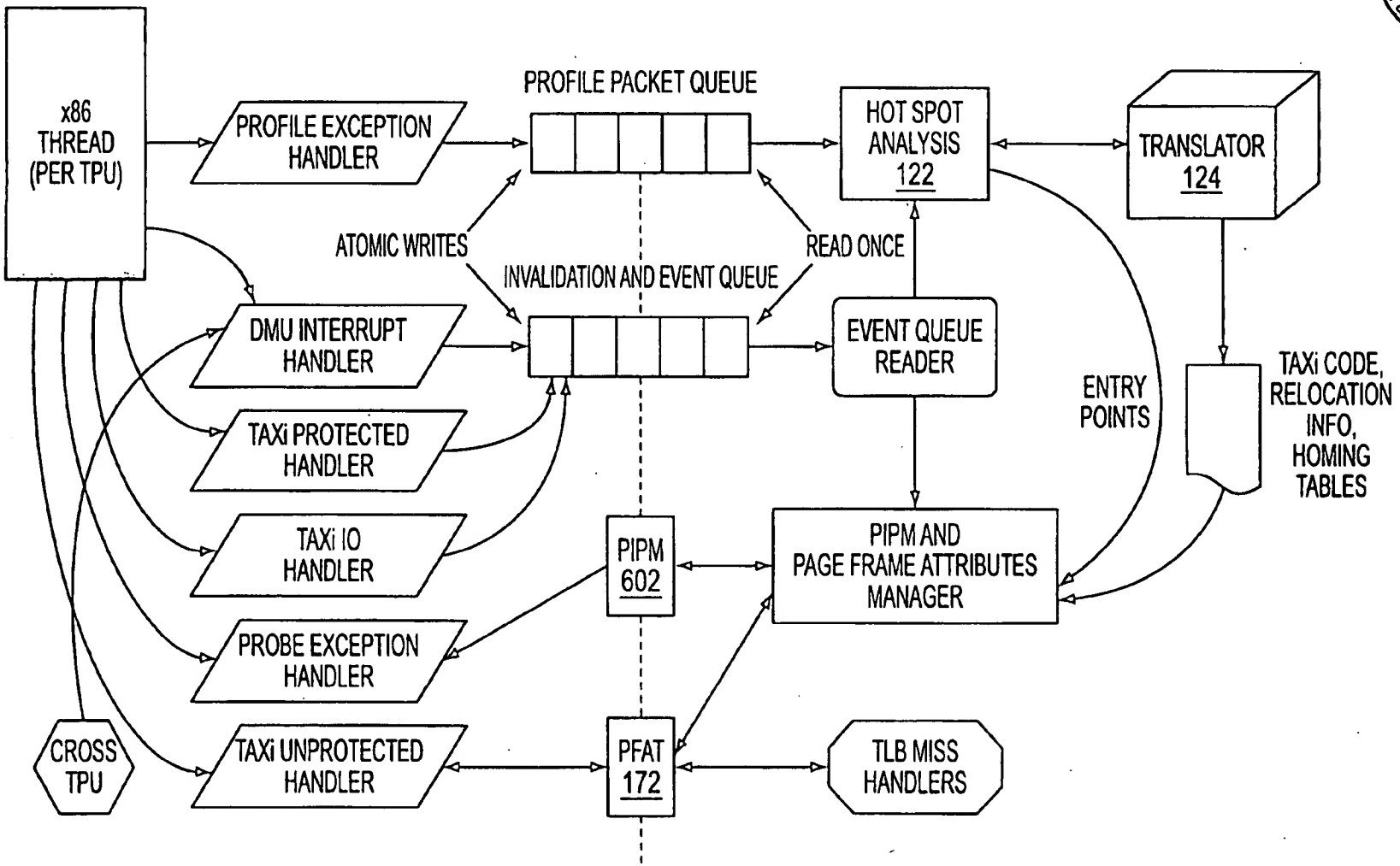
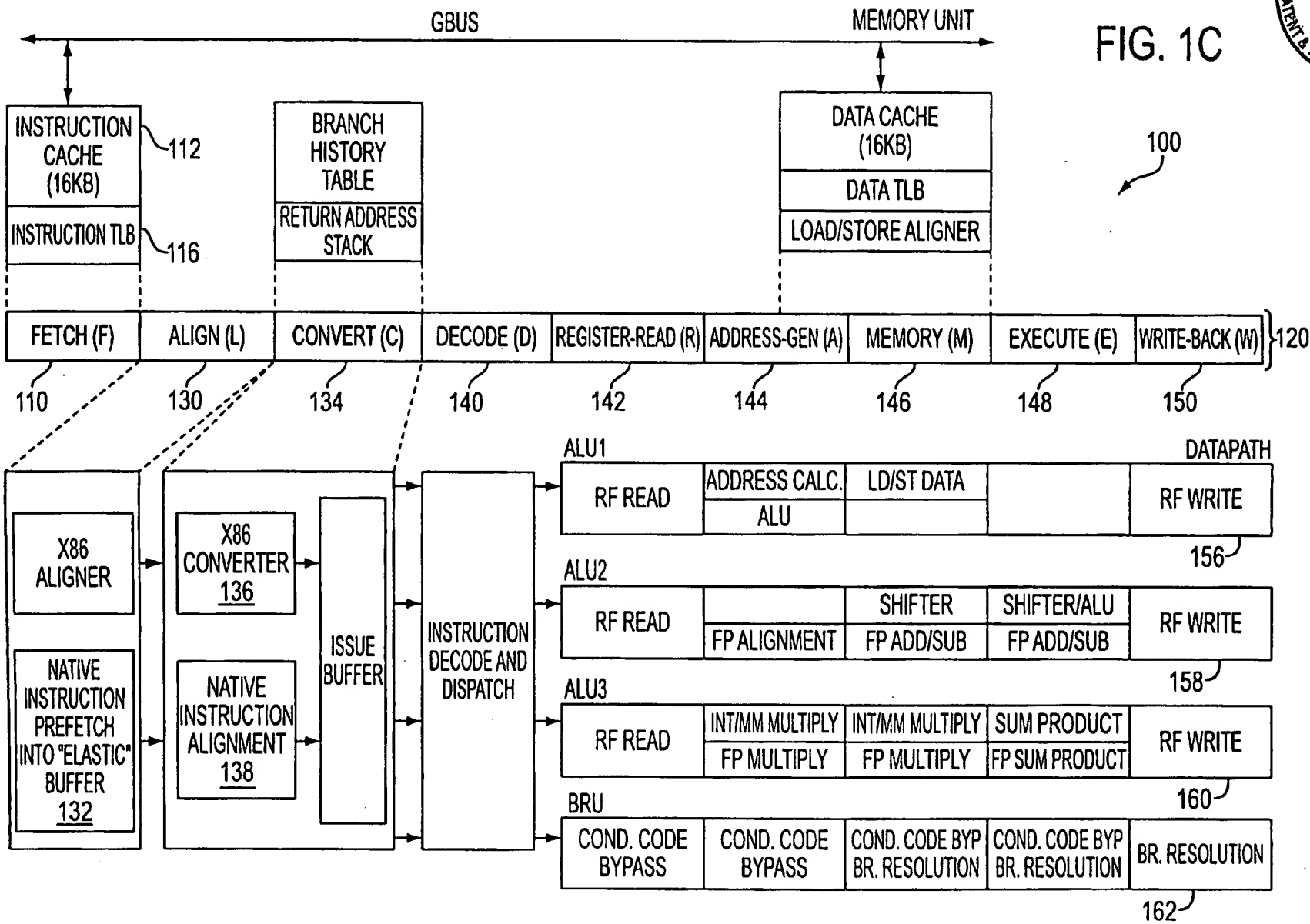


FIG. 1B

FIG. 1C



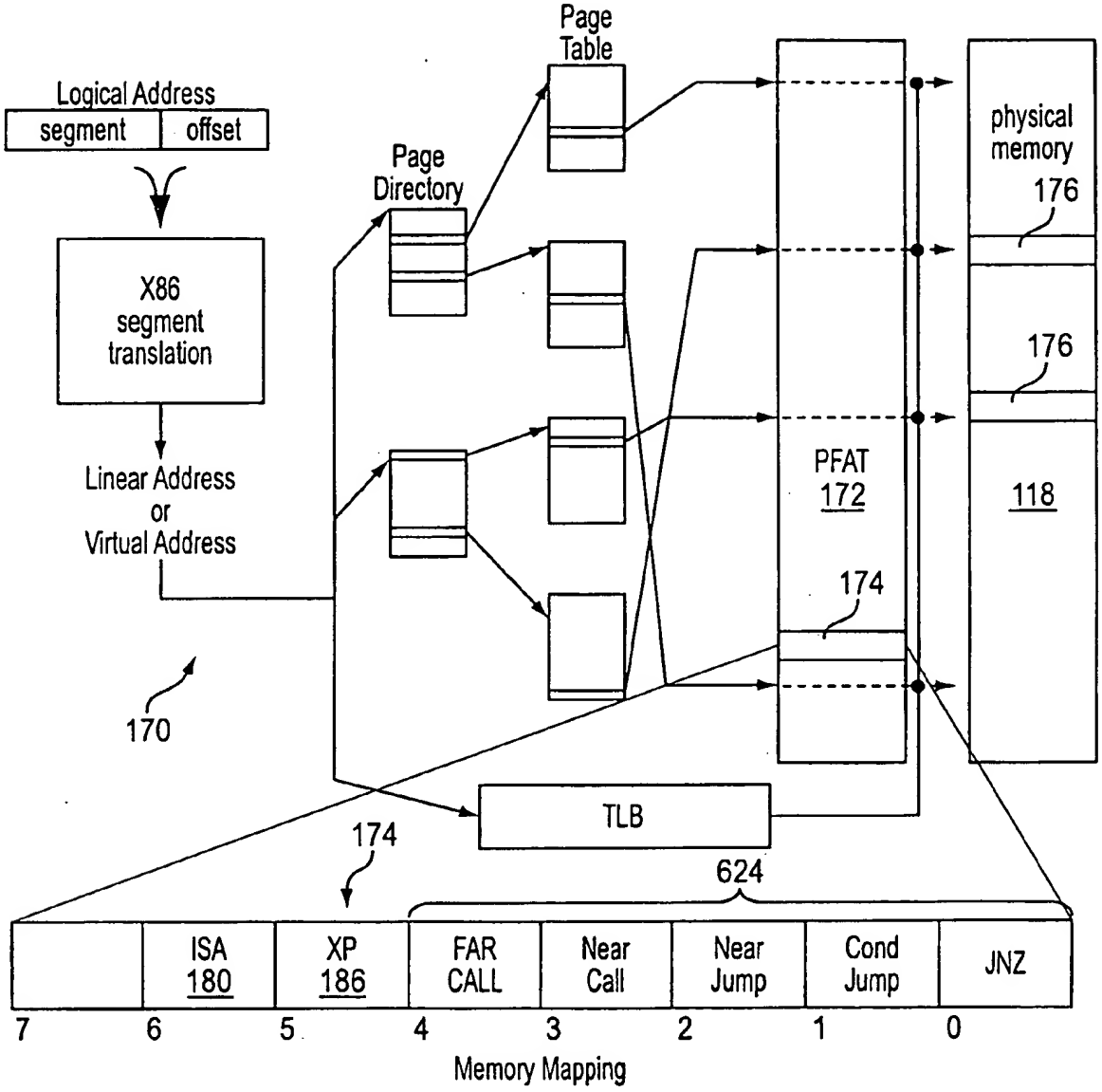


FIG. 1D

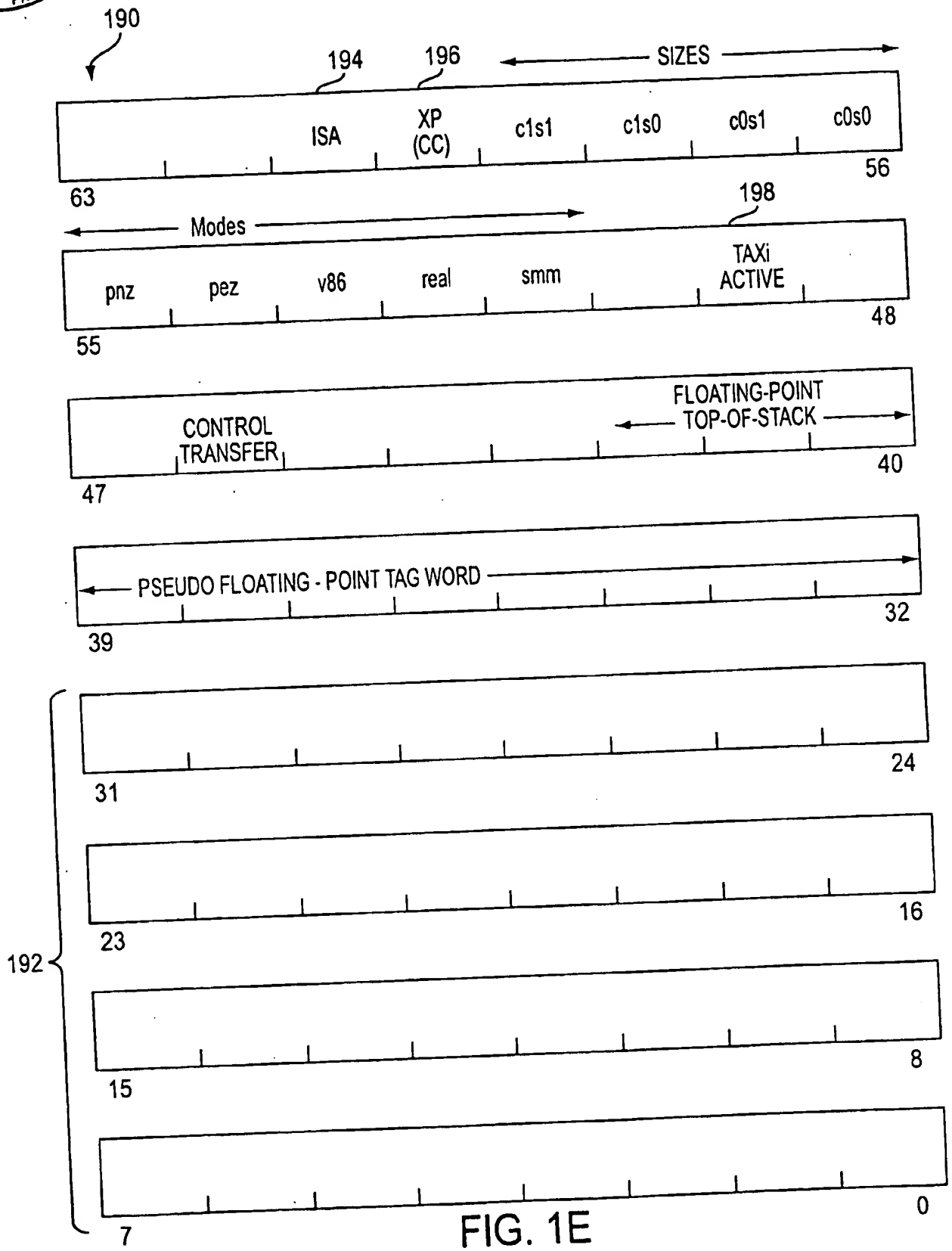


FIG. 1E

I-TLB PROPERTY BITS	DECODED PROPERTY VALUES			PROTECTED INTERPRETATION	INSTRUCTIONS SENT TO:	COLLECT PROFILE TRACE-PACKETS?	PROBE FOR TRANSLATED CODE	I/O MEMORY REFERENCE EXCEPTIONS
	ISA 194	CC 200						
00	TAP	TAP	NO	NATIVE CODE OBSERVING NATIVE RISCy CALLING CONVENTIONS	NATIVE DECODER	NO	NO	FAULT IF SEG.tio
01	TAP	x86	NO	NATIVE CODE OBSERVING x86 CALLING CONVENTIONS	NATIVE DECODER	NO	NO	FAULT IF SEG.tio
10	x86	x86	NO	x86 CODE, UNPROTECTED - *TAX!* PROFILE COLLECTION ONLY	x86 HW CONVERTER	IF ENABLED	NO	TRAP IF PROFILING
11	x86	x86	YES	x86 CODE, PROTECTED - *TAX!* CODE MAY BE AVAILABLE	x86 HW CONVERTER	IF ENABLED	BASED ON I-TLB PROBE ATTRIBUTES	TRAP IF PROFILING

180,182, 184,186 — 184,186

FIG. 2A

204

	TRANSITION (SOURCE => DEST) ISA & CC PROPERTY VALUES	HANDLER ACTION
212	00 => 00	NO TRANSITION EXCEPTION
214	00 => 01	VECT_xxx_X86_CC EXCEPTION - HANDLER CONVERTS FROM NATIVE TO x86 CONVENTIONS
216	00 => 1x	VECT_xxx_X86_CC EXCEPTION - HANDLER CONVERTS FROM NATIVE x86 CONVENTIONS, SETS UP EXPECTED EMULATOR AND PROFILING STATE
218	01 => 00	VECT_xxx_TAP_CC EXCEPTION - HANDLER CONVERTS FROM x86 TO NATIVE CONVENTIONS
220	01 => 01	NO TRANSITION EXCEPTION
222	01 => 1x	VECT_X86_ISA EXCEPTION [CONDITIONAL BASED ON PCW.X86_ISA_ENABLE FLAG] - SETS UP EXPECTED EMULATOR AND PROFILING STATE
224	1x => 00	VECT_xxx_TAP_CC EXCEPTION - HANDLER CONVERTS FROM x86 TO NATIVE CONVENTIONS
226	1x => 01	VECT_TAP_ISA EXCEPTION [CONDITIONAL BASED PCW.TAP_ISA_ENABLE FLAG] - NO CONVENTION CONVERSION NECESSARY
228	1x => 10	NO TRANSITION EXCEPTION - [PROFILE COMPLETE POSSIBLE, PROBE POSSIBLE]
230	1x => 11	NO TRANSITION EXCEPTION - [PROFILE COMPLETE POSSIBLE, PROBE NOT POSSIBLE]

FIG. 2B

	NAME	DESCRIPTION	TYPE
242	VECT_call_X86_CC	PUSH ARGS, RETURN ADDRESS, SET UP x86 STATE	FAULT ON TARGET INSTRUCTION
244	VECT_jump_X86_CC	SET UP x86 STATE	FAULT ON TARGET INSTRUCTION
246	VECT_ret_no_fp_X86_CC	RETURN VALUE TO EAX:EDX, SET UP x86 STATE	FAULT ON TARGET INSTRUCTION
248	VECT_ret_fp_X86_CC	RETURN VALUE TO x86 FP STACK, SET UP x86 STATE	FAULT ON TARGET INSTRUCTION
250	VECT_call_TAP_CC	x86 STACK ARGS, RETURN ADDRESS TO REGISTERS	FAULT ON TARGET INSTRUCTION
252	VECT_jump_TAP_CC	x86 STACK ARGS TO REGISTERS	FAULT ON TARGET INSTRUCTION
254	VECT_ret_no_fp_TAP_CC	RETURN VALUE TO RV0	FAULT ON TARGET INSTRUCTION
256	VECT_ret_any_TAP_CC	RETURN TYPE UNKNOWN, SETUP RV0 AND RVDP	FAULT ON TARGET INSTRUCTION

FIG. 2C

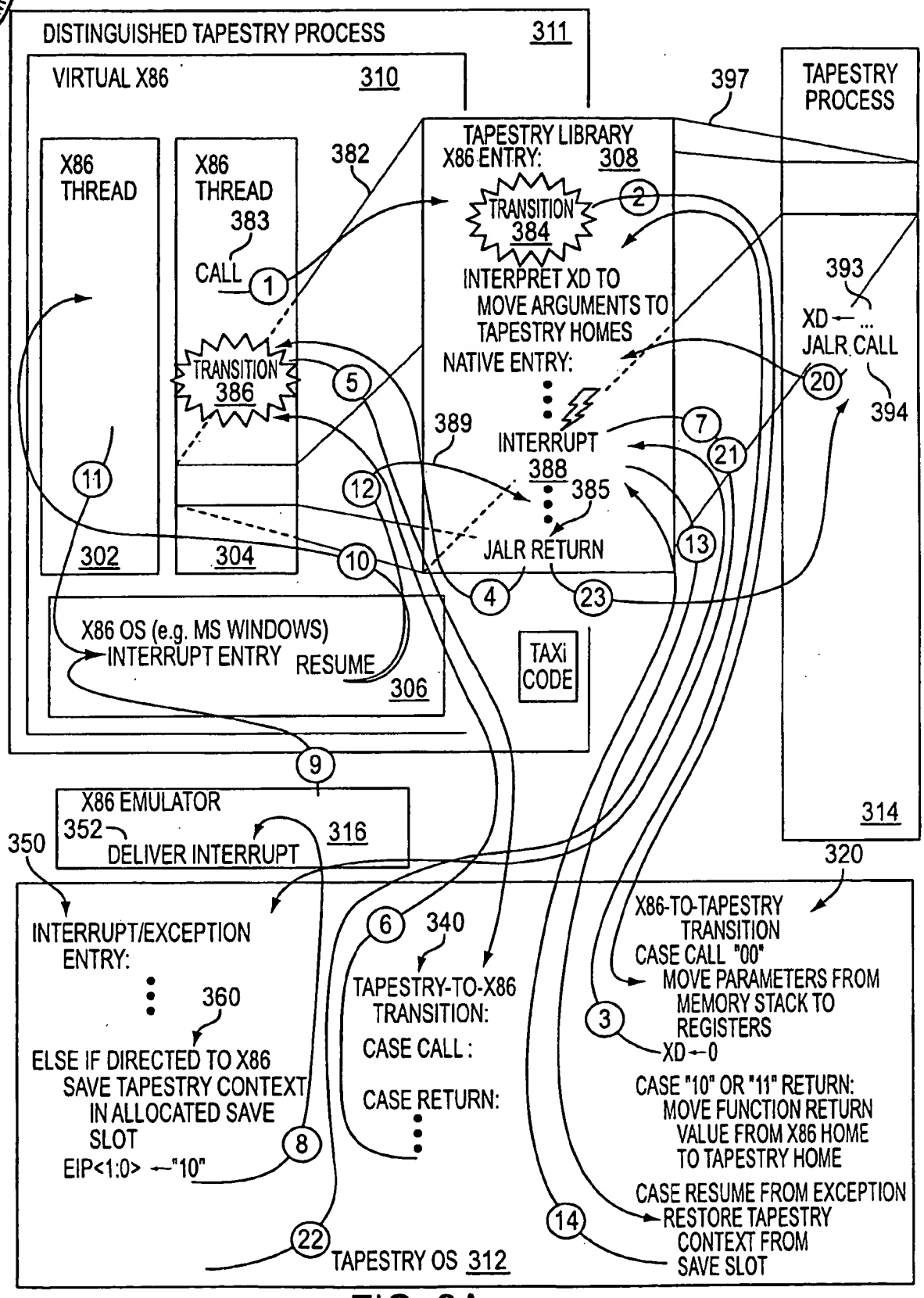


FIG. 3A

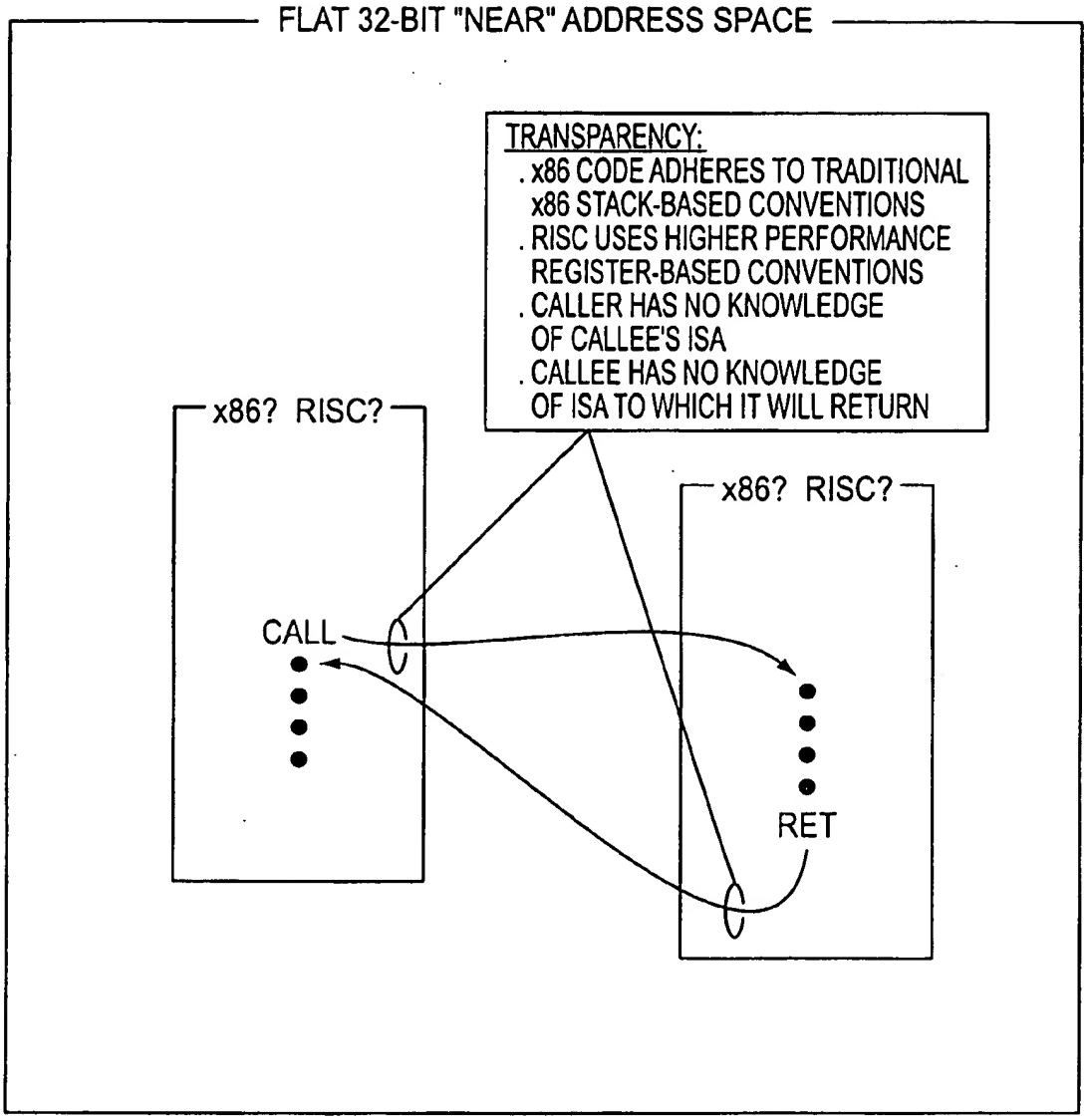


FIG. 3B

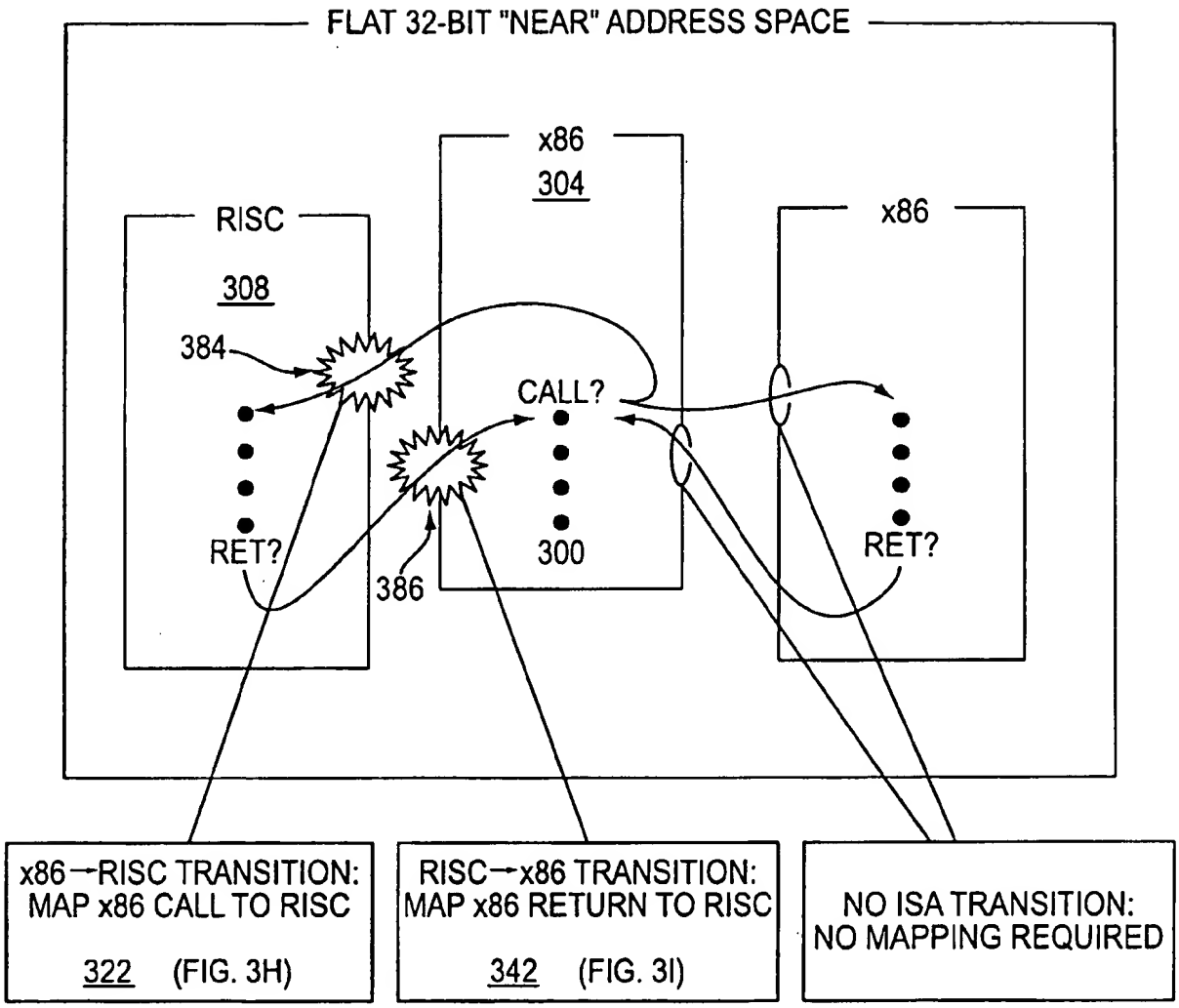


FIG. 3C

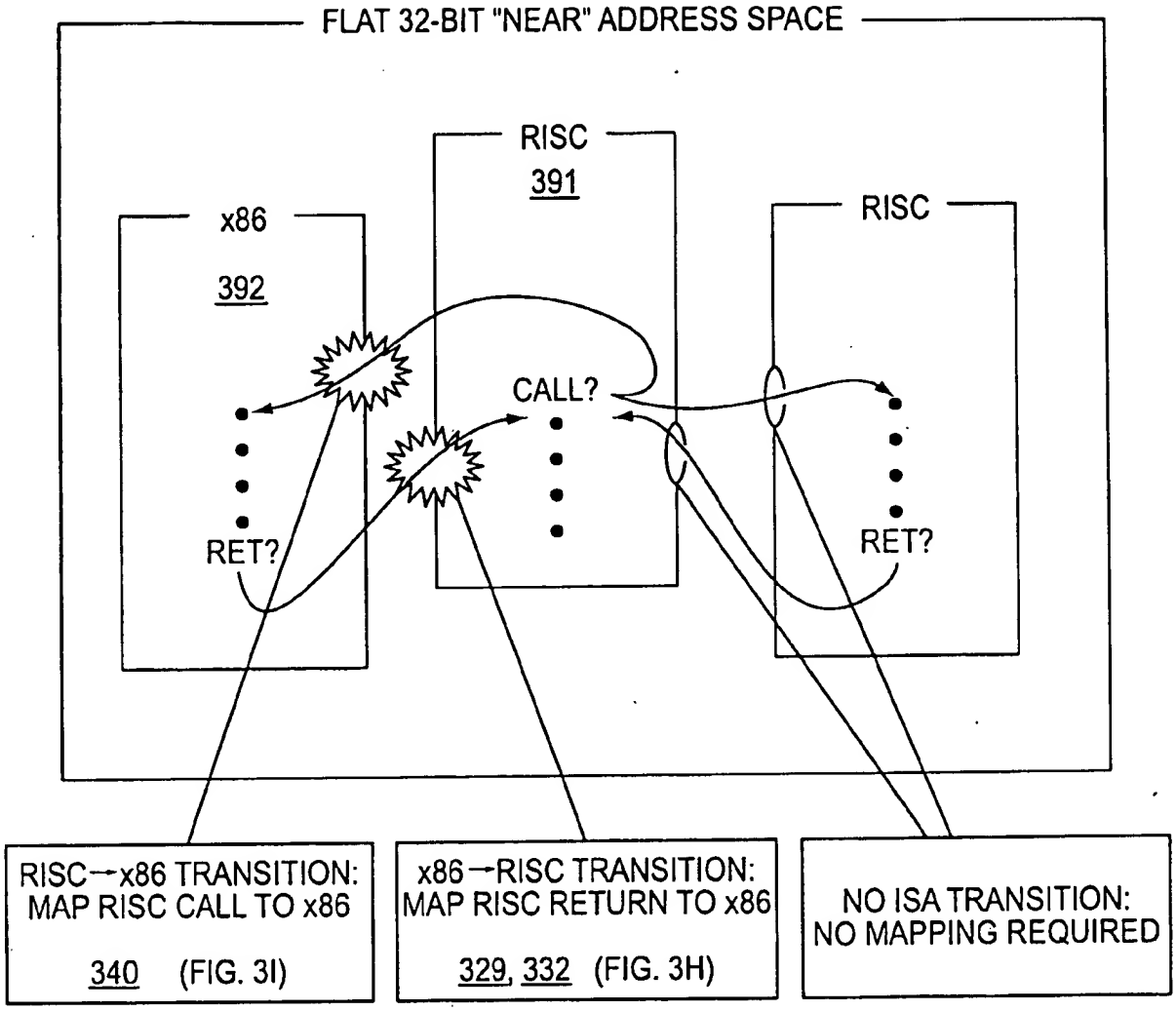


FIG. 3D

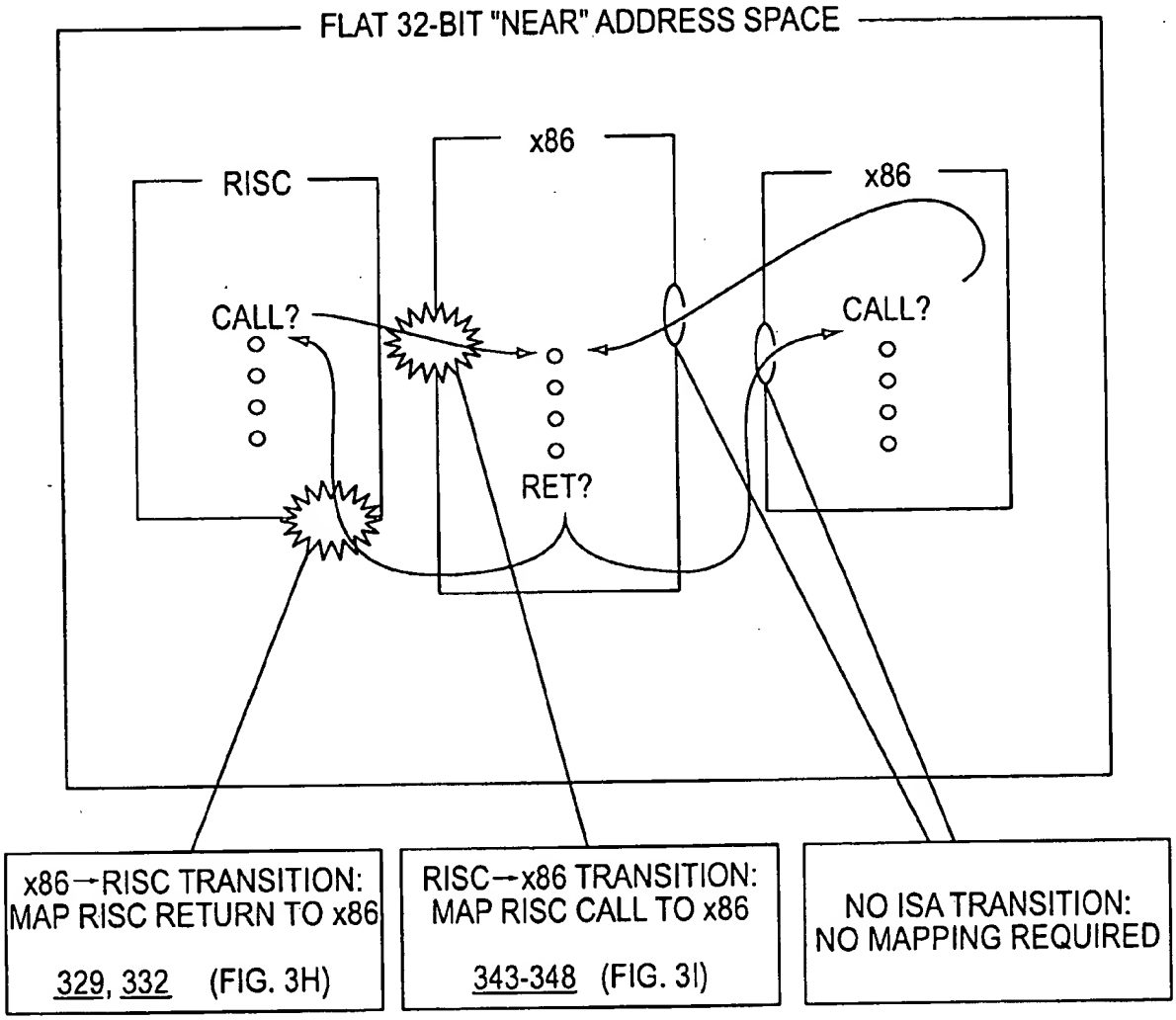


FIG. 3E

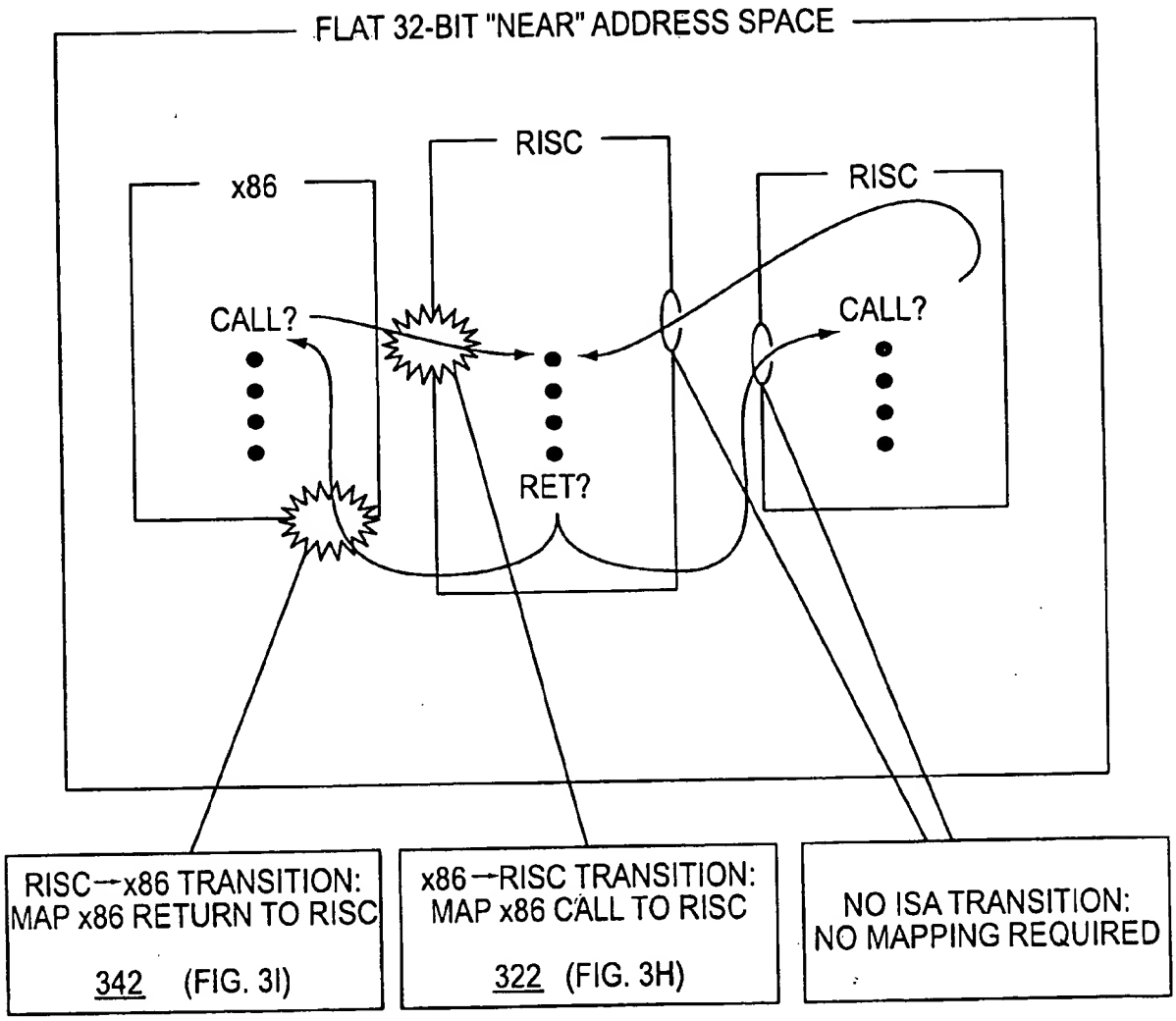


FIG. 3F

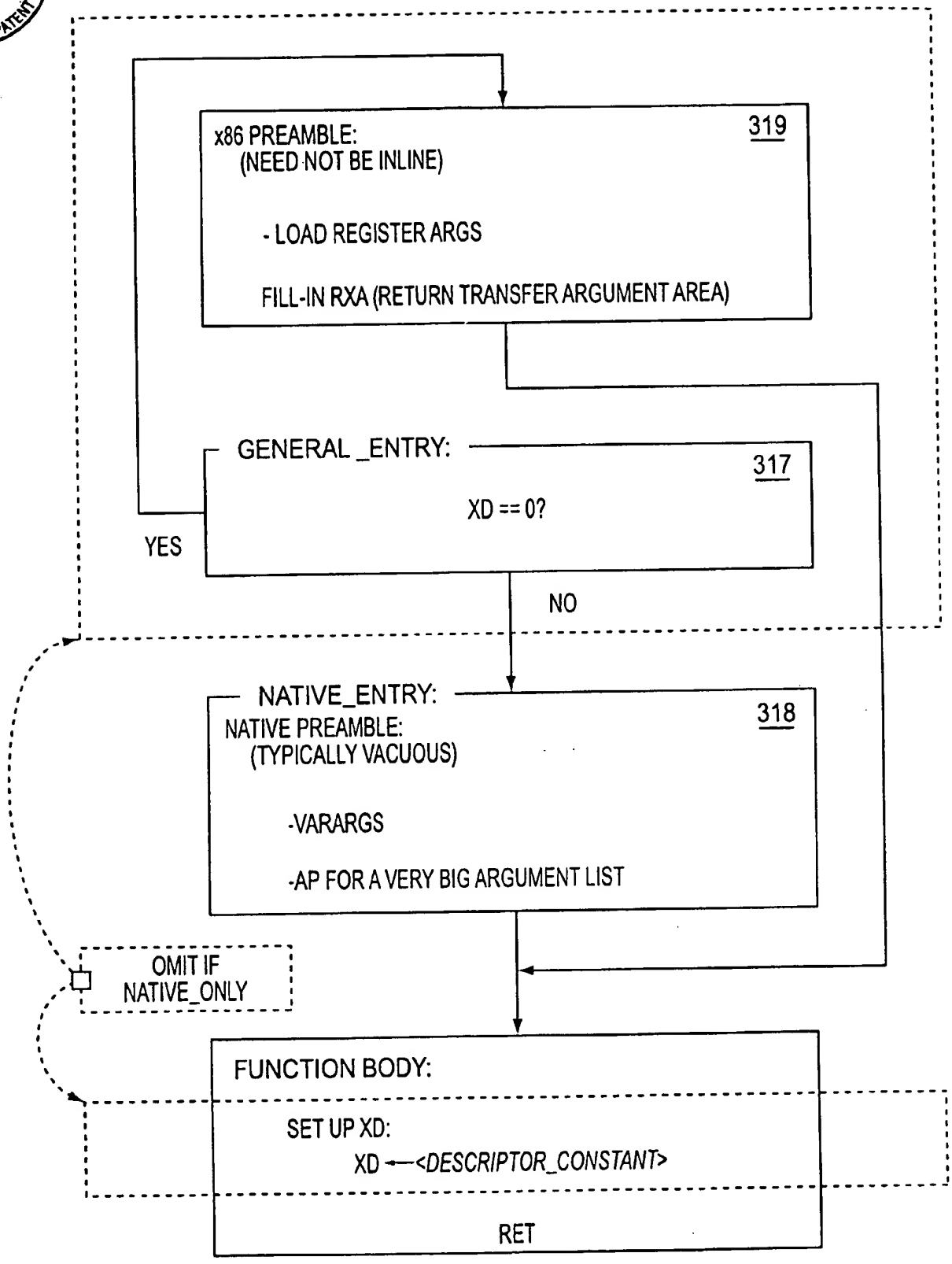


FIG. 3G

320

X86-to Tapestry transition exception handler

```
// This handler is entered under the following conditions:
// 1. An x86 caller invokes a native function
// 2. An x86 function returns to a native caller
// 3. x86 software returns to or resumes an interrupted native function following
//    an external asynchronous interrupt, a processor exception, or a context switch
dispatch on the two least-significant bits of the destination address (321) {
case"00"        // calling a native subprogram                                          } 322
   // copy linkage and stack frame information and call parameters from the memory
   // stack to the analogous Tapestry registers
   LR <-[SP++]          // set up linkage register (323)
   AP <-SP              // address of first argument (324)
   SP <-SP - 8          // allocate return transfer argument area (326)
   SP <-SP & (-32)      // round the stack pointer down to a 0 mod 32 boundary (327)
   XD <-0               // inform callee that caller uses X86 calling conventions (328)
case "01"       // resuming an X86 thread suspended during execution of a native routine  } 370
   if the redundant copies of the save slot number in EAX and EDX do not match or if  } 371
        the redundant copies of the timestamp in EBX:ECX and ESI:EDI do not match {
        // some form of bug or thread corruption has been detected
        goto TAPESTRY_CRASH_SYSTEM( thread-corruption-error-code ) (372)
   }
   save the EBX:ECX timestamp in a 64-bit exception handler temporary register  } 373
        (this will not be overwritten during restoration of the full native context)
   use save slot number in EAX to locate actual save slot storage (374)
   restore full entire native context (includes new values for all x86 registers) (375)
   if save slot's timestamp does not match the saved timestamp { (376)
        // save slot has been reallocated; save slot exhaustion has been detected
        goto TAPESTRY_CRASH_SYSTEM( save-slot-overwritten-error-code ) (377)
   }
   free the save slot (378)
case"10"        // returning from X86 callee to native caller, result already in registers } 332
   RV0<63:32> <-edx<31:00>          // in case result is 64 bits (333)
   convert the FP top-of-stack value from 80 bit X86 form to 64-bit form in RVDP (334)
   SP <-ESI                         // restore SP from time of call (337)
case"11"        // returning from X86 callee to native caller, load large result from memory } 329
   RV0..RV3 <- load 32 bytes from [ESI-32] // (guaranteed naturally aligned) (330)
   SP <-ESI                         // restore SP from time of call (337)
}
EPC<-EPC & -4       // reset the two low-order bits to zero (336)
RFE (338)
```

FIG. 3H

340

```
Tapestry-to-X86 transition exception handler
    // This handler is entered under the following conditions:
    // 1. a native caller invokes an x86 function
    // 2. a native function returns to an x86 caller
    switch on XD<3:0> {                                              341

    XD_RET_FP:                      // result type is floating point
        FO/FI <-- FINFLATE.de( RVDP)  // X86 FP results are 80 bits
        SP <-- from RXA save          // discard RXA, pad, args
        FPCW<--image after FINIT & push // FP stack has 1 entry
        goto EXIT

    XD_RET_WRITEBACK:               // store result to @RVA, leave RVA in eax
        RVA<--from RXA save           // address of result area
        copy decode(XD<8:4>) bytes from RV0..RV3 to [RVA]
        eax <-- RVA                   // X86 expects RVA in eax
        SP<--from RXA save            // discard RXA, pad, args
        FPCW<--image after FINIT            // FP stack is empty
        goto EXIT

    XD_RET_SCALAR:                  // result in eax:eda
        edx<31:00> <-- eax<63:32>     // in case result is 64 bits
        SP<--from RXA save            // discard RXA, pad, args
        FPCW<--image after FINIT            // FP stack is empty
        goto EXIT                                                    } 342

    XD_CALL_HIDDEN_TEMP:  // allocate 32 byte aligned hidden temp     343
        esi<--SP                      // stack cut back on return
        SP <-- SP - 32                // allocate max size temp       } 344
        RVA<-- SP                     // RVA consumed later by RR
        LR<1:0> <--"11"               // flag address for return & reload  345
        goto CALL_COMMON

    default:                        // remaining XD_CALL_xxx encodings
        esi<--SP                      // stack cut back on return     343
        LR<1:0> <--"10"               // flag address for return      346
CALL_COMMON:
        interpret XD to push and/or reposition args                  347
        [--SP] <-- LR                 // push LR as return address    }
EXIT:                                                                } 348
        setup emulator context and profiling ring buffer pointer     }
    }
    RFE                             // to original target            349
}
```

FIG. 3I

```
                                                                                    350
interrupt/exception handler of Tapestry operating system:
    // Control vectors here when a synchronous exception or asynchronous interrupt is to be
    // exported to / manifested in an x86 machine.

// The interrupt is directed to something within the virtual X86, and thus there is a possibility
// that the X86 operating system will context switch. So we need to distinguish two cases:
//  either the running process has only X86 state that is relevant to save, or
//   there is extended state that must be saved and associated with the current machine context
//        (e.g., extended state in a Tapestry library call in behalf of a process managed by X86 OS)
if execution was interrupted in the converter – EPC.ISA == X86 {                    }351
        // no dependence on extended/native state possible, hence no need to save any
        goto EM86_Deliver_Interrupt( interrupt-byte )
} else if EPC.Taxi_Active {                                                         }353
        // A Taxi translated version of some X86 code was running.  Taxi will rollback to an
        // x86 instruction boundary.  Then, if the rollback was induced by an asynchronous external
        // interrupt, Taxi will deliver the appropriate x86 interrupt.  Else, the rollback was induced
        // by a synchronous event so Taxi will resume execution in the converter, retriggering the
        // exception but this time with EPC.ISA == X86
        goto TAXi_Rollback( asynchronous-flag, interrupt-byte )
} else if EPC.EM86 {                                                                }354
        // The emulator has been interrupted.  The emulator is coded to allow for such
        // conditions and permits re-entry during long running routines (e.g. far call through a gate)
        // to deliver external interrupts
        goto EM86_Deliver_Interrupt( interrupt-byte )
} else {                                                                            }360
        // This is the most difficult case - the machine was executing native Tapestry code on
        // behalf of an X86 thread.  The X86 operating system may context switch.  We must save
        // all native state and be able to locate it again when the x86 thread is resumed.
                361
        allocate a free save slot; if unavailable free the save slot with oldest timestamp and try again
        save the entire native state (both the X86 and the extended state)          }362
        save the X86 EIP in the save slot
                                                                                    363
        overwrite the two low-order bits of EPC with "01" (will become X86 interrupt EIP)
        store the 64-bit timestamp in the save slot, in the X86 EBX:ECX register pair (and,
                for further security, store a redundant copy in the X86 ESI:EDI register pair)  }364
        store the a number of the allocated save slot in the X86 EAX register (and, again for
                further security, store a redundant copy in the X86 EDX register)   }365
        goto EM86_Deliver_Interrupt( interrupt-byte )
                                                                                    369
}
```

FIG. 3J

```
typedef struct {
    save_slot_t *       newer,          // pointer to next-most-recently-allocated save slot   } 379c
    save_slot_t *       older;          // pointer to next-older save slot                     }
    unsigned int64      epc;            // saved exception PC/IP                               }
    unsigned int64      pcw;            // saved exception PCW (program control word)          } 356   } 355
    unsigned int64      registers[63];  // save the 63 writeable general registers             }
                                        // other words of Tapestry context                     }
    ...                                                                                        }
    timestamp_t         timestamp;      // timestamp to detect buffer overrun ~358             }
    int                 save_slot_ID;   // ID number of the save slot ~357                     }
    boolean             save_slot_is_full;      // full / empty flag ~359                      }
} save_slot_t;

save_slot_t *       save_slot_head;     // pointer to the head of the queue ~379a
save_slot_t *       save_slot_tail;     // pointer to the tail of the queue ~379b

system initialization
    reserve several pages of unpaged memory for save slots
```

FIG. 3K

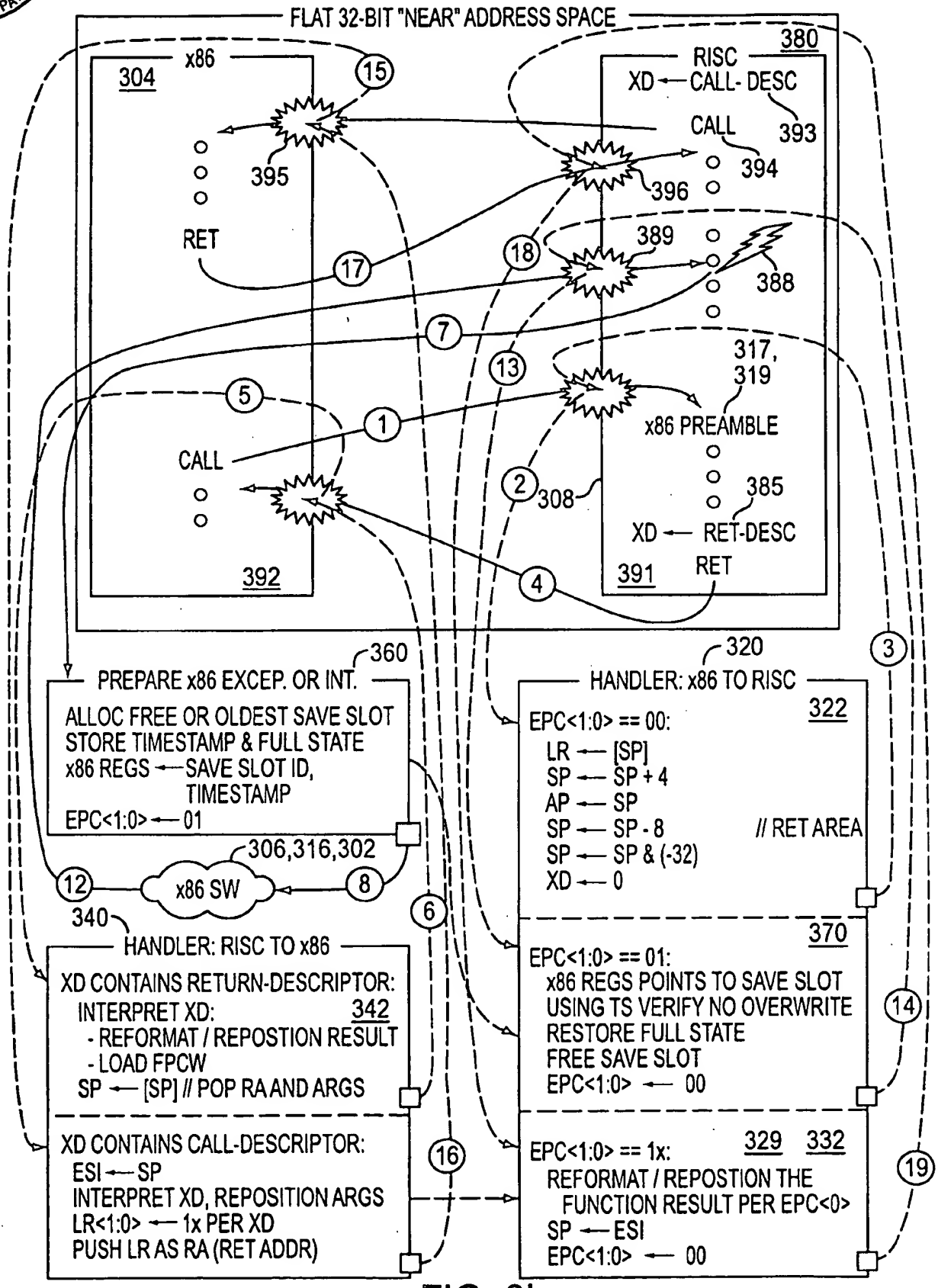


FIG. 3L

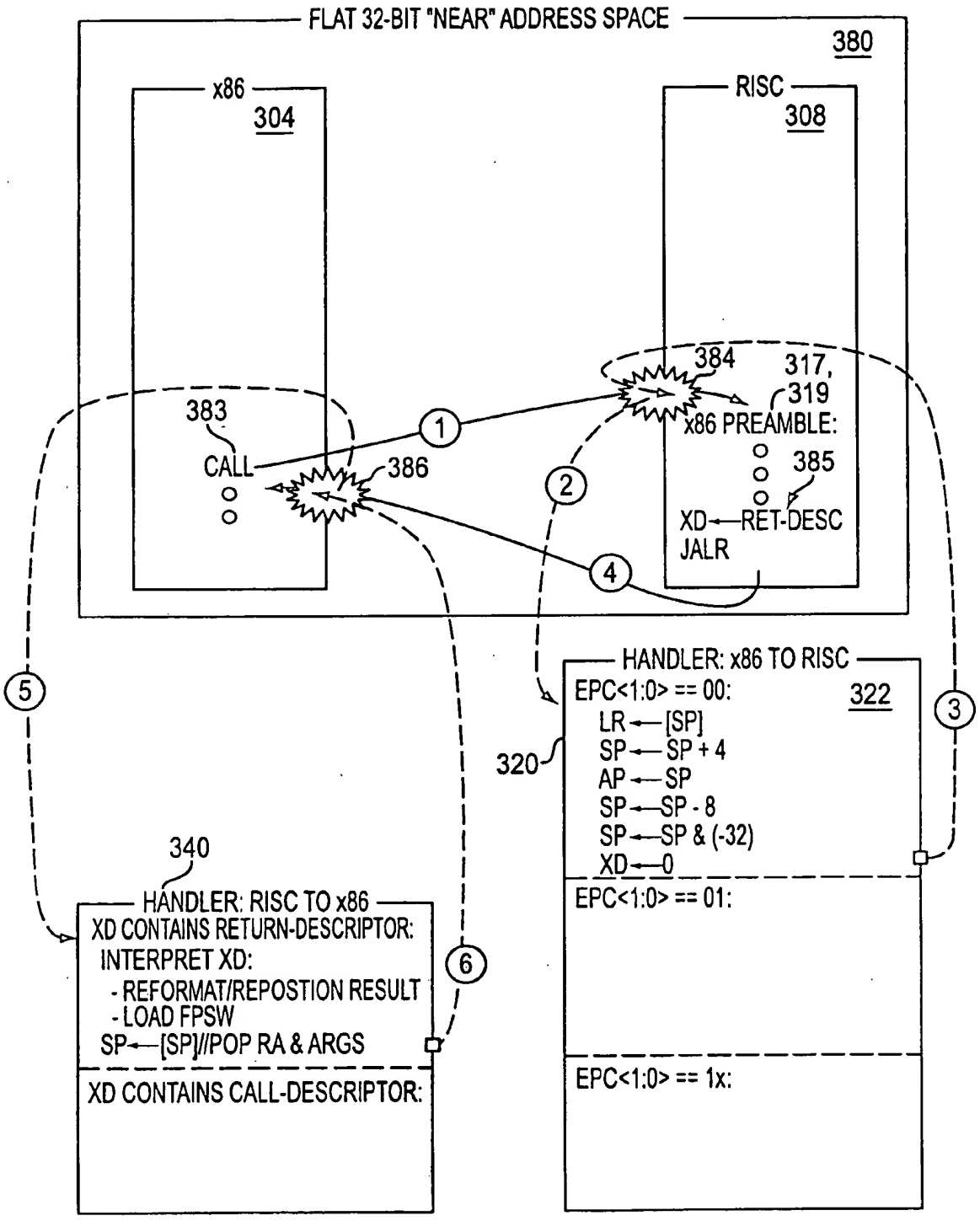


FIG. 3M

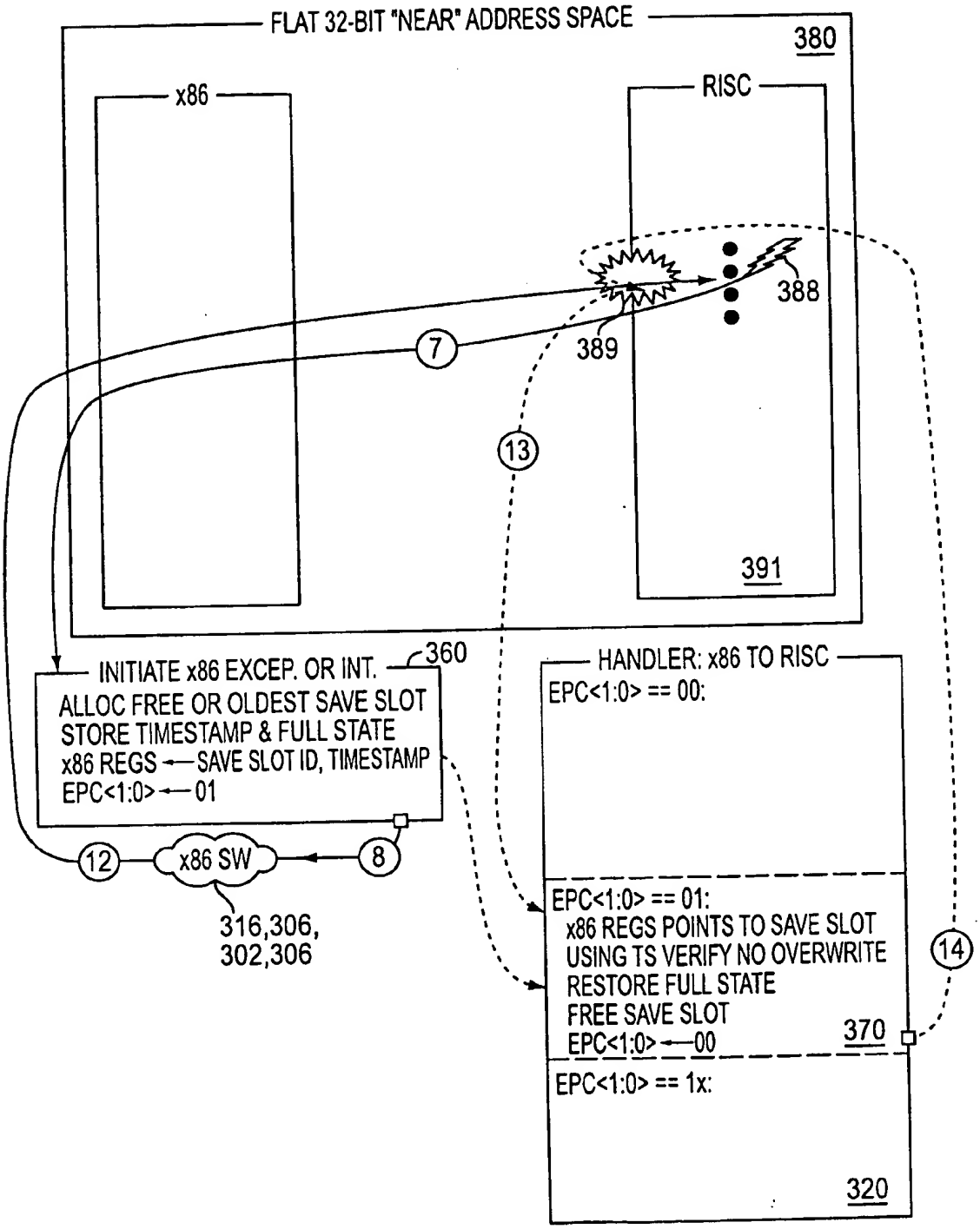


FIG. 3N

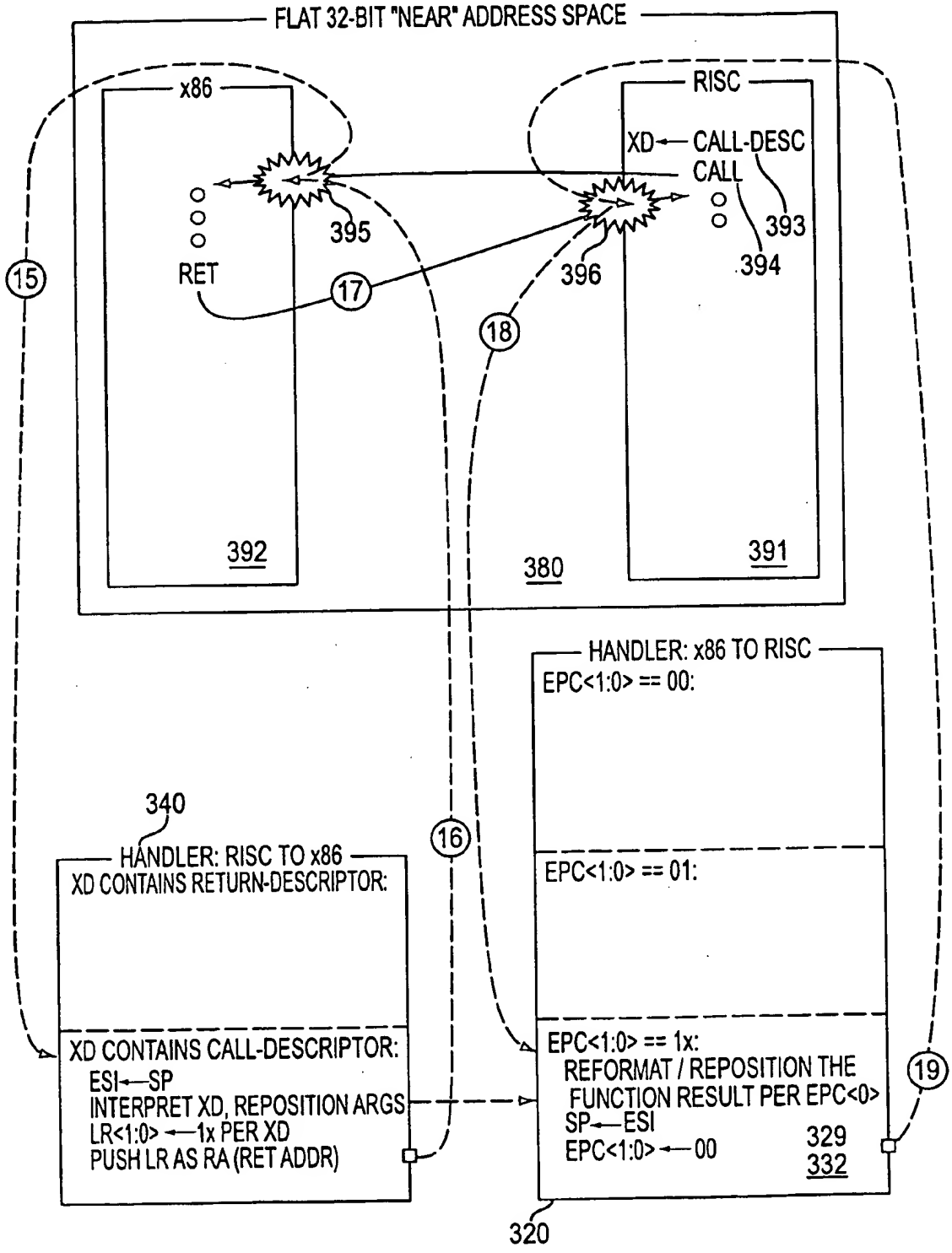
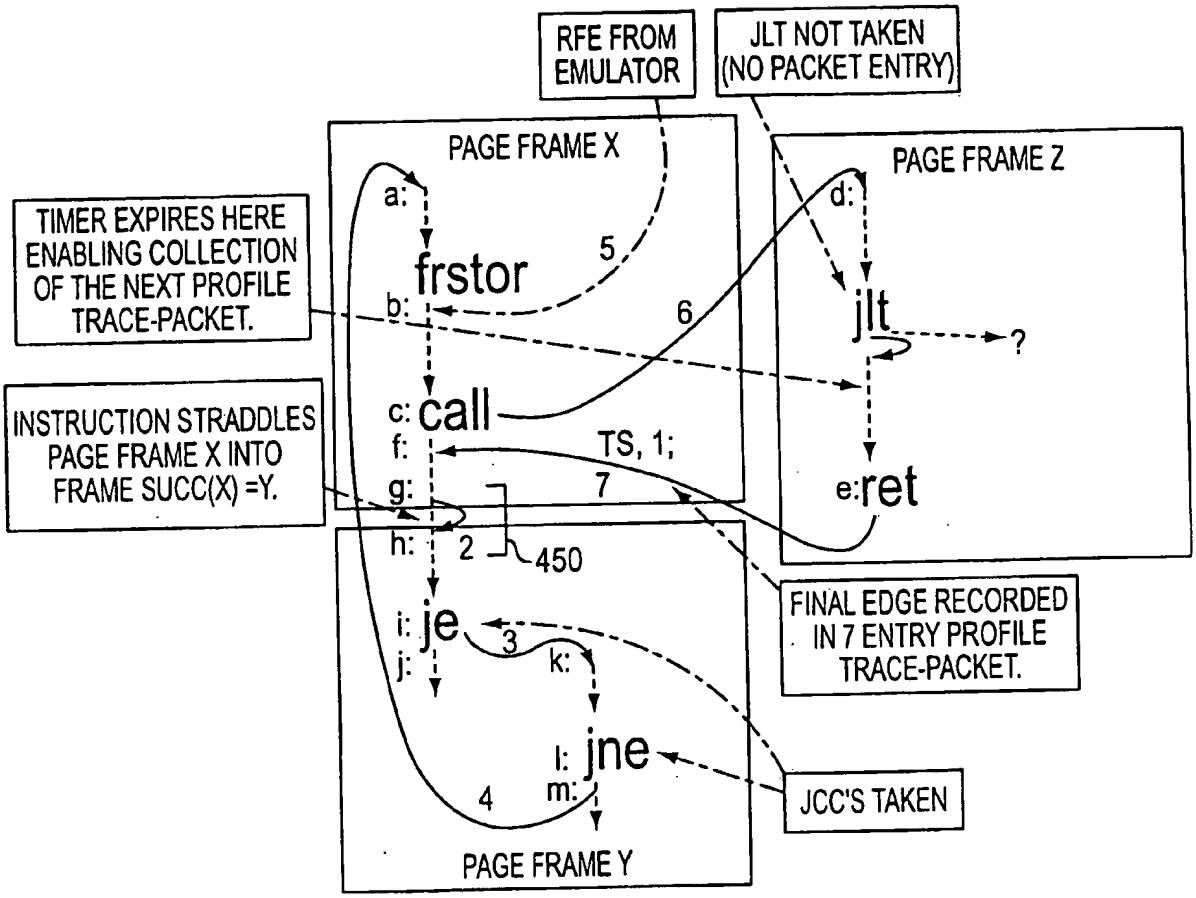


FIG. 30



7 ENTRY TRACE PACKET

420

ENTRY	EVENT CODE	DONE ADDR	NEXT ADDR	
	64 BIT TIME STAMP			
1	RET	x86 CONTEXT	*phys X:f*	430
2	NEW PAGE	*phys Y:g*	*phys Y:h*	440, 454
3	JCC FORWARD	*phys Y:i*	*phys Y:k*	440
4	JNZ BACKWARD	*phys Y:l*	*phys X:a*	440
5	SEQ; ENV CHANGE	x86 CONTEXT	*phys X:b*	430
6	IP-REL NEAR CALL	*phys X:c*	*phys Z:d*	440
7	NEAR RET	*phys Z:e*	*phys X:f*	440

FIG. 4A

SOURCE	CODE 402	EVENT	PROFILEABLE EVENT 414 REUSE EVENT CODE 416		INITIATE PACKET 418	PROBEABLE EVENT 610	PROBE EVENT BIT-ITLB PROBE ATTRIBUTE OR EMULATOR PROBE 612
412	0.0000	DEFAULT (x86 TRANSPARENT) EVENT, REUSE ALL CONVERTER VALUES	YES		NO		REUSE EVENT CODE
412	0.0001	SIMPLE x86 INSTRUCTION COMPLETION (REUSE EVENT CODE)	YES		NO		REUSE EVENT CODE
412	0.0010	PROBE EXCEPTION FAILED	YES		NO		REUSE EVENT CODE
412	0.0011	PROBE EXCEPTION FAILED, RELOAD PROBE TIMER	YES		NO		REUSE EVENT CODE
410 RFE (CONTEXT AT POINT ENTRY)	0.0100	FLUSH EVENT	NO	NO	NO	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.0101	SEQUENTIAL; EXECUTION ENVIRONMENT CHANGED - FORCE EVENT	NO	YES	NO	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.0110	FAR RET	NO	YES	YES	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.0111	IRET	NO	YES	NO	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.1000	FAR CALL	NO	YES	YES	YES	FAR CALL
410 RFE (CONTEXT AT POINT ENTRY)	0.1001	FAR JMP	NO	YES	YES	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.1010	SPECIAL; EMULATOR EXECUTION, SUPPLY EXTRA INSTRUCTION DATA[Q]	NO	YES	NO	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.1011	ABORT PROFILE COLLECTION	NO	NO	NO	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.1100	x86 SYNCHRONOUS/ ASYNCHRONOUS INTERRUPT W/PROBE (GRP 0)	NO	YES	YES	YES	EMULATOR PROBE
410 RFE (CONTEXT AT POINT ENTRY)	0.1101	x86 SYNCHRONOUS/ASYNCHRONOUS INTERRUPT (GRP 0)	NO	YES	YES	NO	-
410 RFE (CONTEXT AT POINT ENTRY)	0.1110	x86 SYNCHRONOUS/ASYNCHRONOUS INTERRUPT W/PROBE (GRP 1)	NO	YES	YES	YES	EMULATOR PROBE
410 RFE (CONTEXT AT POINT ENTRY)	0.1111	x86 SYNCHRONOUS/ASYNCHRONOUS INTERRUPT (GRP 1)	NO	YES	YES	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.0000	IP-RELATIVE JNZ FORWARD (OPCODE: 75, OF 85)	NO	YES	YES	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.0001	IP-RELATIVE JNZ BACKWARD (OPCODE: 75, OF 85)	NO	YES	YES	YES	JNZ
404 CONVERTER (NEAR EDGE ENTRY)	1.0010	IP-RELATIVE CONDITIONAL JUMP FORWARD - (JCC, JCXZ, LOOP)	NO	YES	YES	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.0011	IP-RELATIVE CONDITIONAL JUMP BACKWARD - (JCC, JCXZ, LOOP)	NO	YES	YES	YES	COND JUMP
404 CONVERTER (NEAR EDGE ENTRY)	1.0100	IP-RELATIVE, NEAR JMP FORWARD (OPCODE: E9, EB)	NO	YES	YES	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.0101	IP-RELATIVE, NEAR JMP BACKWARD (OPCODE: E9, EB)	NO	YES	YES	YES	NEAR JUMP
404 CONVERTER (NEAR EDGE ENTRY)	1.0110	RET/RET IMM16 (OPCODE C3, C2 /W)	NO	YES	YES	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.0111	IP-RELATIVE, NEAR CALL (OPCODE: E8)	NO	YES	YES	YES	NEAR CALL
404 CONVERTER (NEAR EDGE ENTRY)	1.1000	REPE/REPNE CMPS/SCAS (OPCODE: A6, A7, AE, AF)	NO	YES	NO	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.1001	REP MOVS/STOS/LDOS (OPCODE: A4, A5, AA, AB, AC, AD)	NO	YES	NO	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.1010	INDIRECT NEAR JMP (OPCODE: FF /4)	NO	YES	YES	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.1011	INDIRECT NEAR CALL (OPCODE: FF /2)	NO	YES	YES	YES	NEAR CALL
404 CONVERTER (NEAR EDGE ENTRY)	1.1100	LOAD FROM I/O MEMORY (TLB.ASI !=0) (NOT USED IN T1)	NO	YES	NO	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.1101	AVAILABLE FOR EXPANSION	NO	NO	NO	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.1110	DEFAULT CONVERTER EVENT; SEQUENTIAL 406	NO	NO	NO	NO	-
404 CONVERTER (NEAR EDGE ENTRY)	1.1111	NEW PAGE (INSTRUCTION ENDS ON LAST BYTE OF A PAGE FRAME OR STRADDLES ACROSS A PAGE FRAME BOUNDARY) 408	NO	YES	NO	NO	-

FIG. 4B

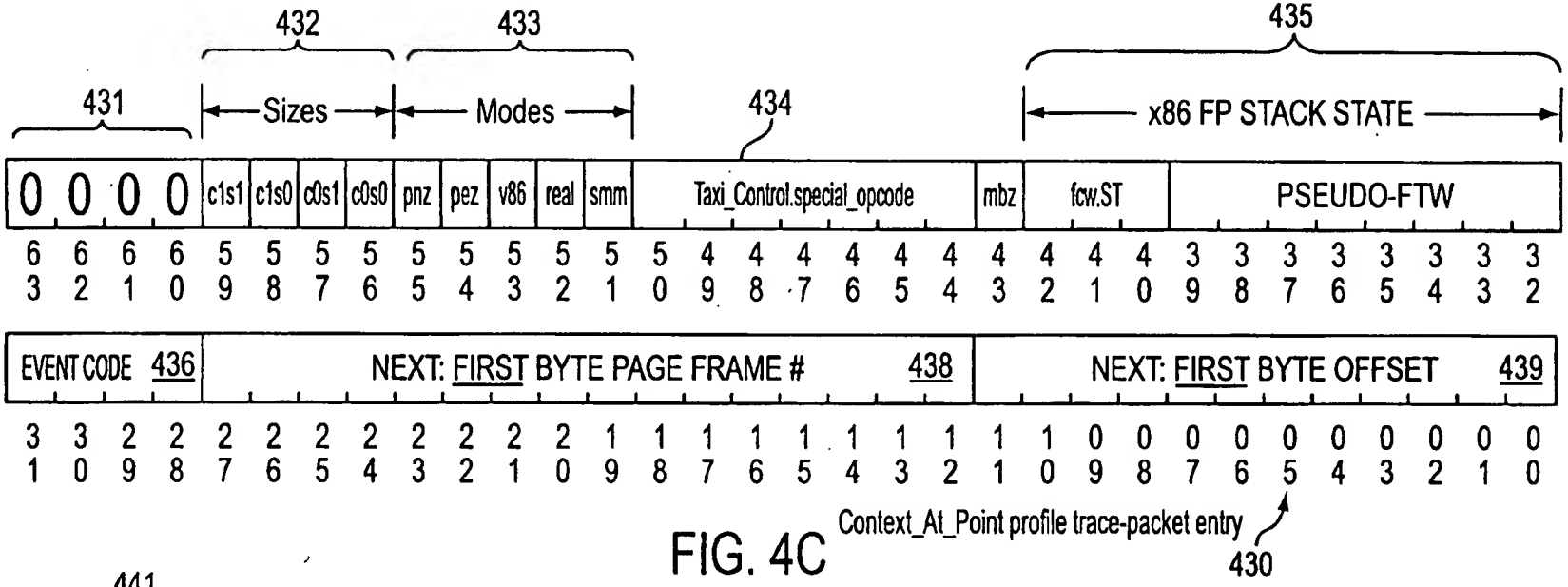


FIG. 4C

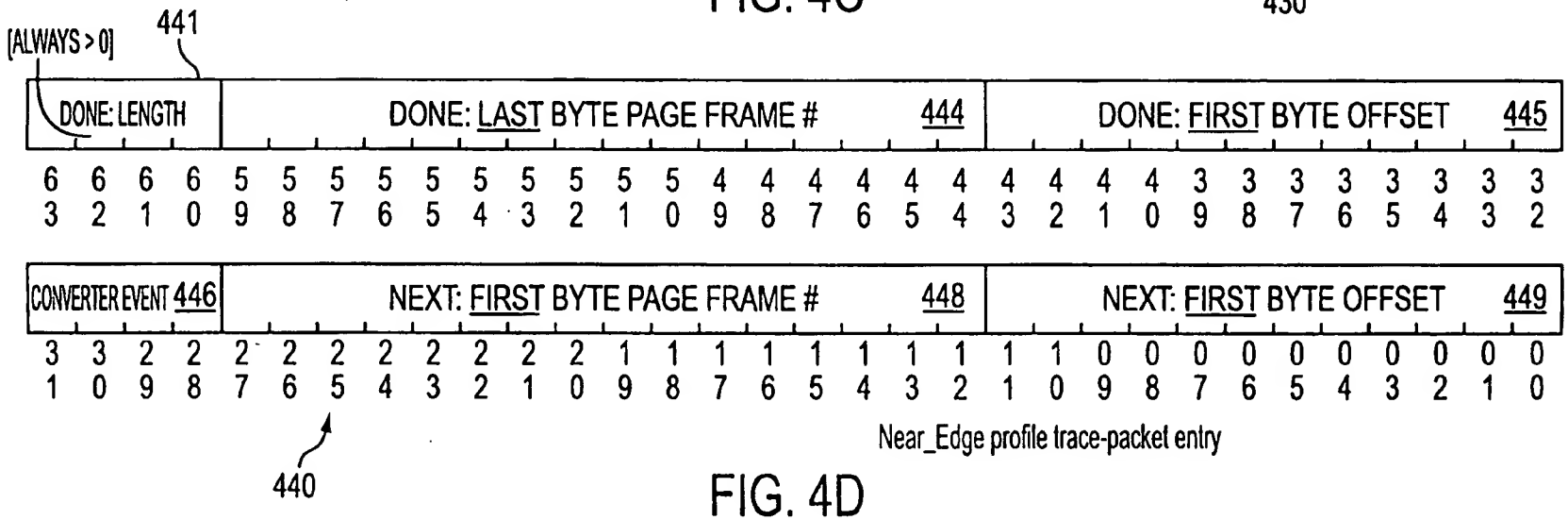


FIG. 4D

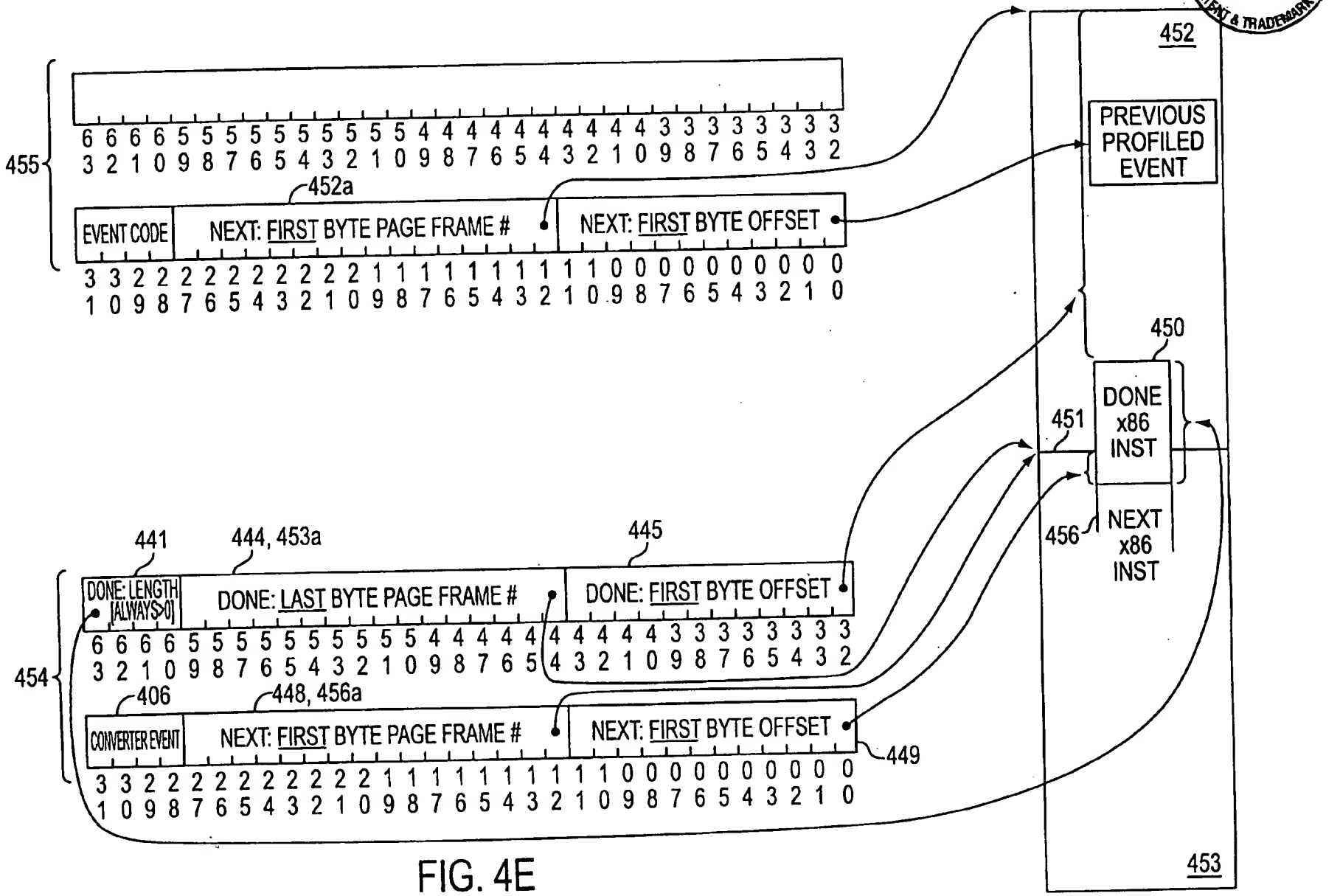


FIG. 4E

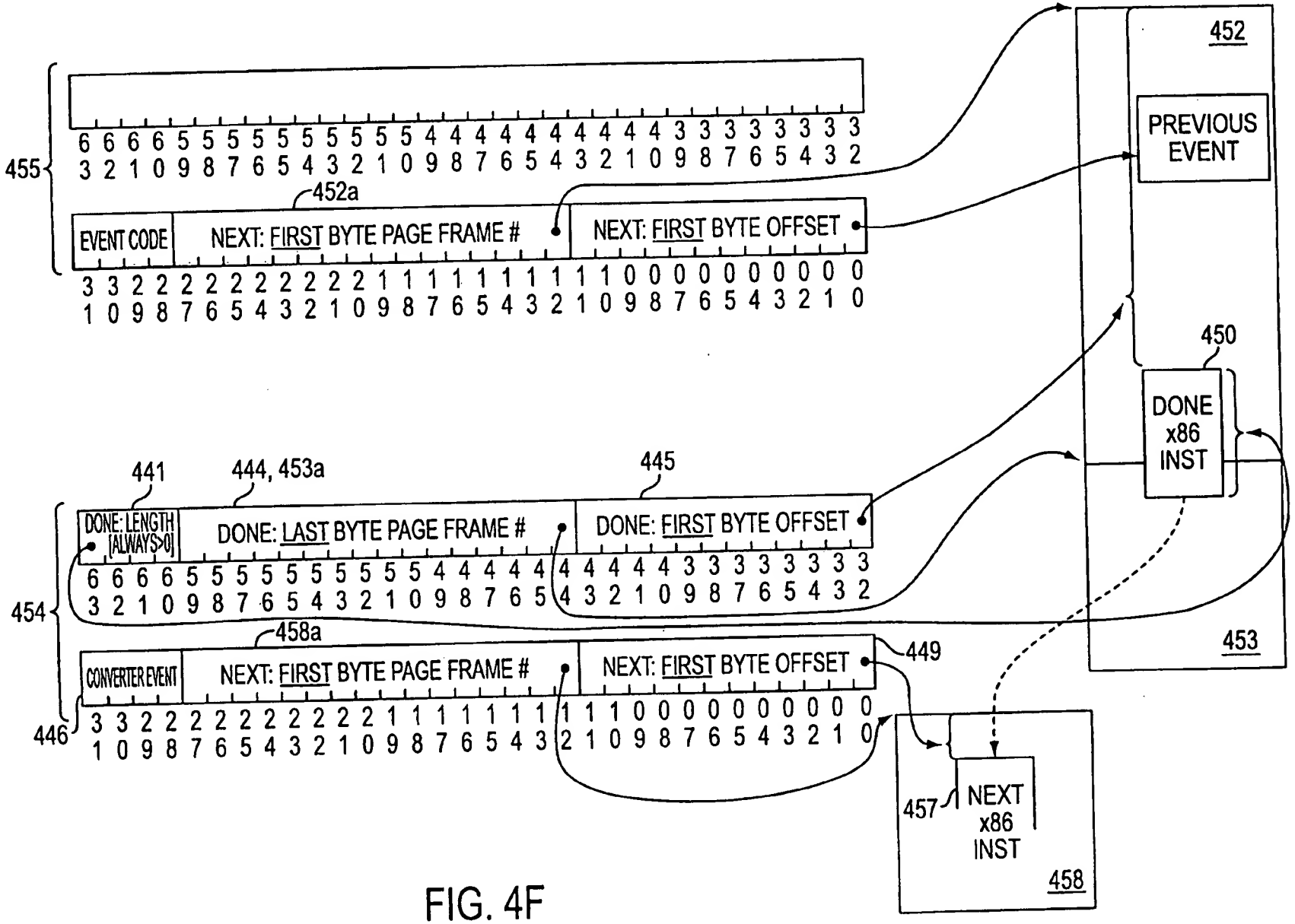


FIG. 4F

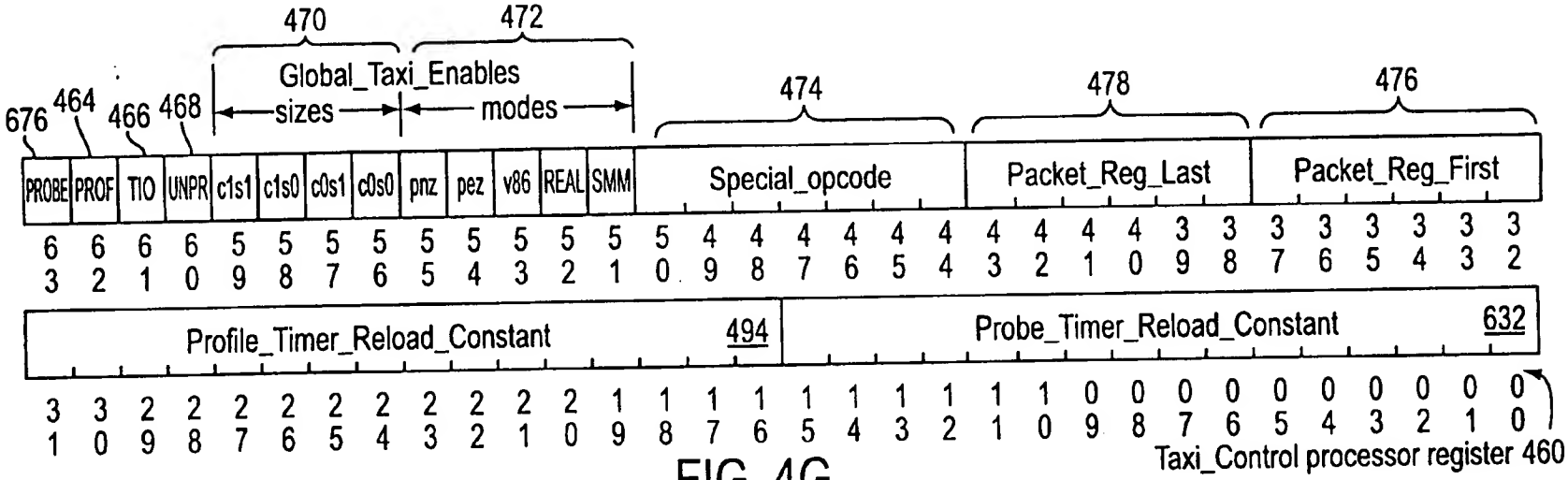


FIG. 4G

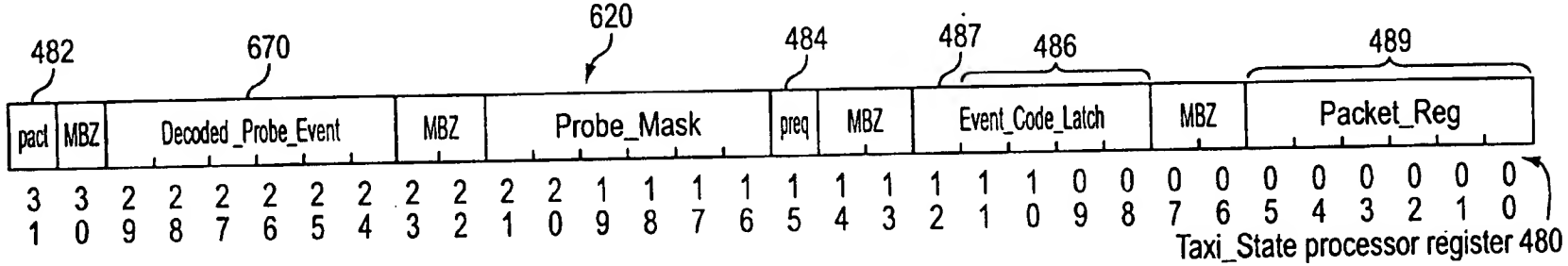


FIG. 4H

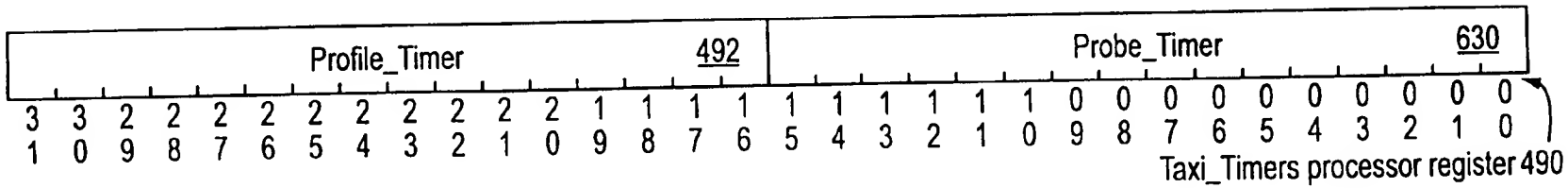


FIG. 4I

EVENTS
pe_{init} = "initiate packet" profile event — 418
$pe_{\overline{init}}$ = non-"initiate packet" profile event
pe = any profile event — 416
te = timer expiry
ap = abort packet

STATE VARIABLES
PR = Profile_Request flag — 488
PA = Profile_Active flag — 482

RULES
te EVENT:
PR—1

PR & $\overline{PA}$ & pe_{init}:
PR—0
PA—1
Init Packet_Reg
Save Timestamp
Log Event (CAP)
Full Packet? / Packet_Reg++

PA & pe:
Log Event (CAP or NE)
Full Packet? / Packet_Reg++

FULL PACKET:
PA—0
profile exception

ap EVENT:
PA—0

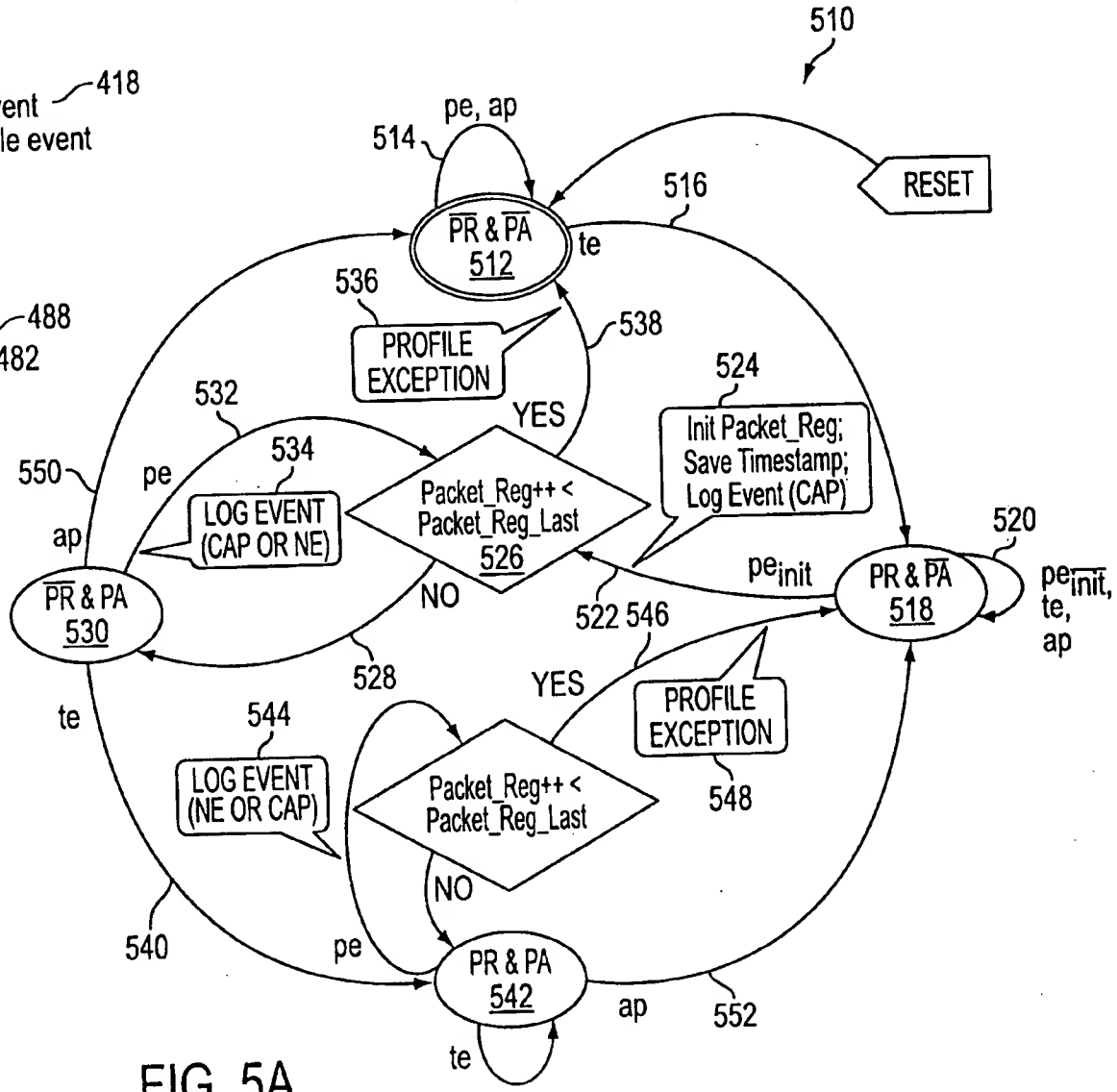


FIG. 5A

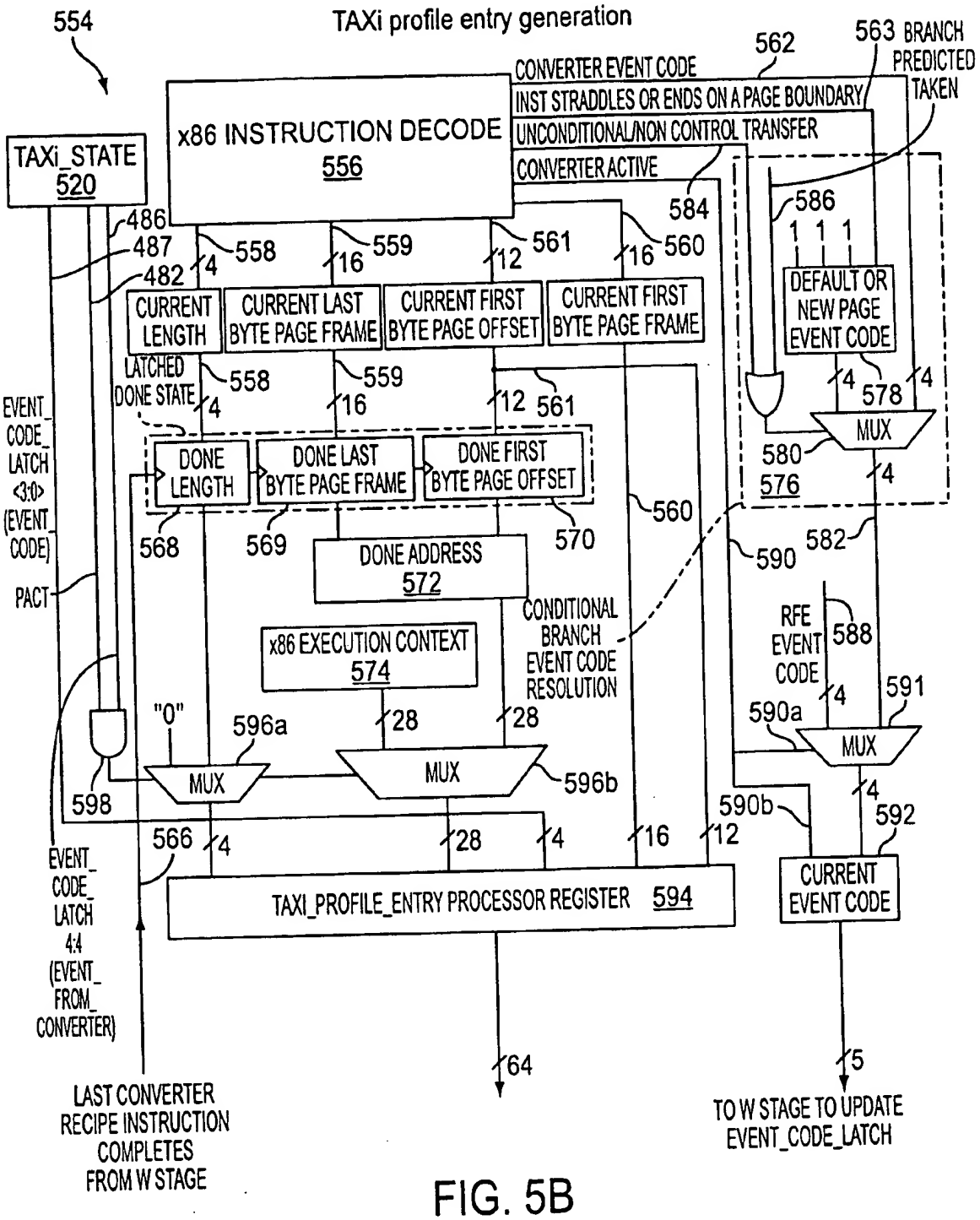


FIG. 5B

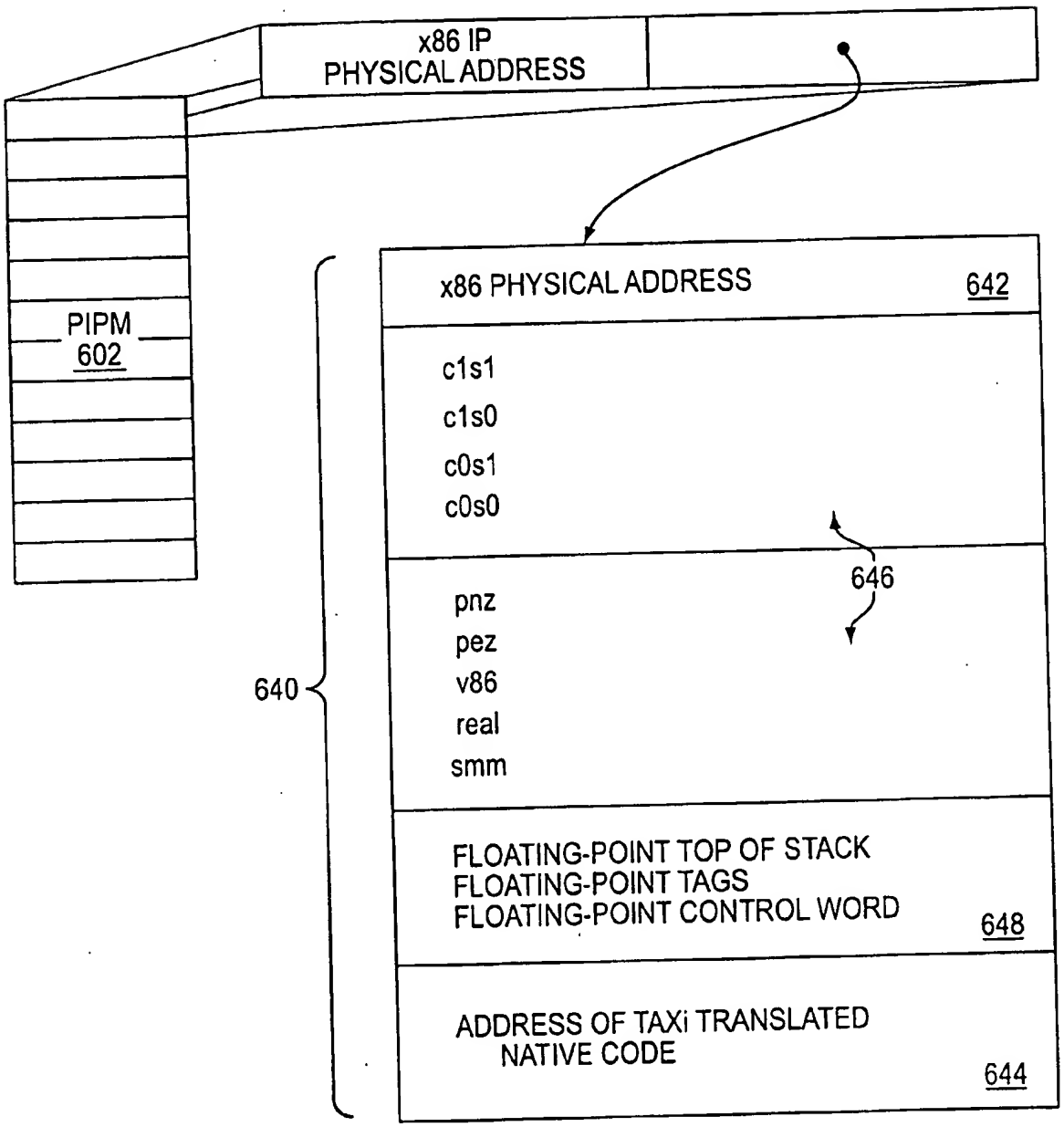


FIG. 6A

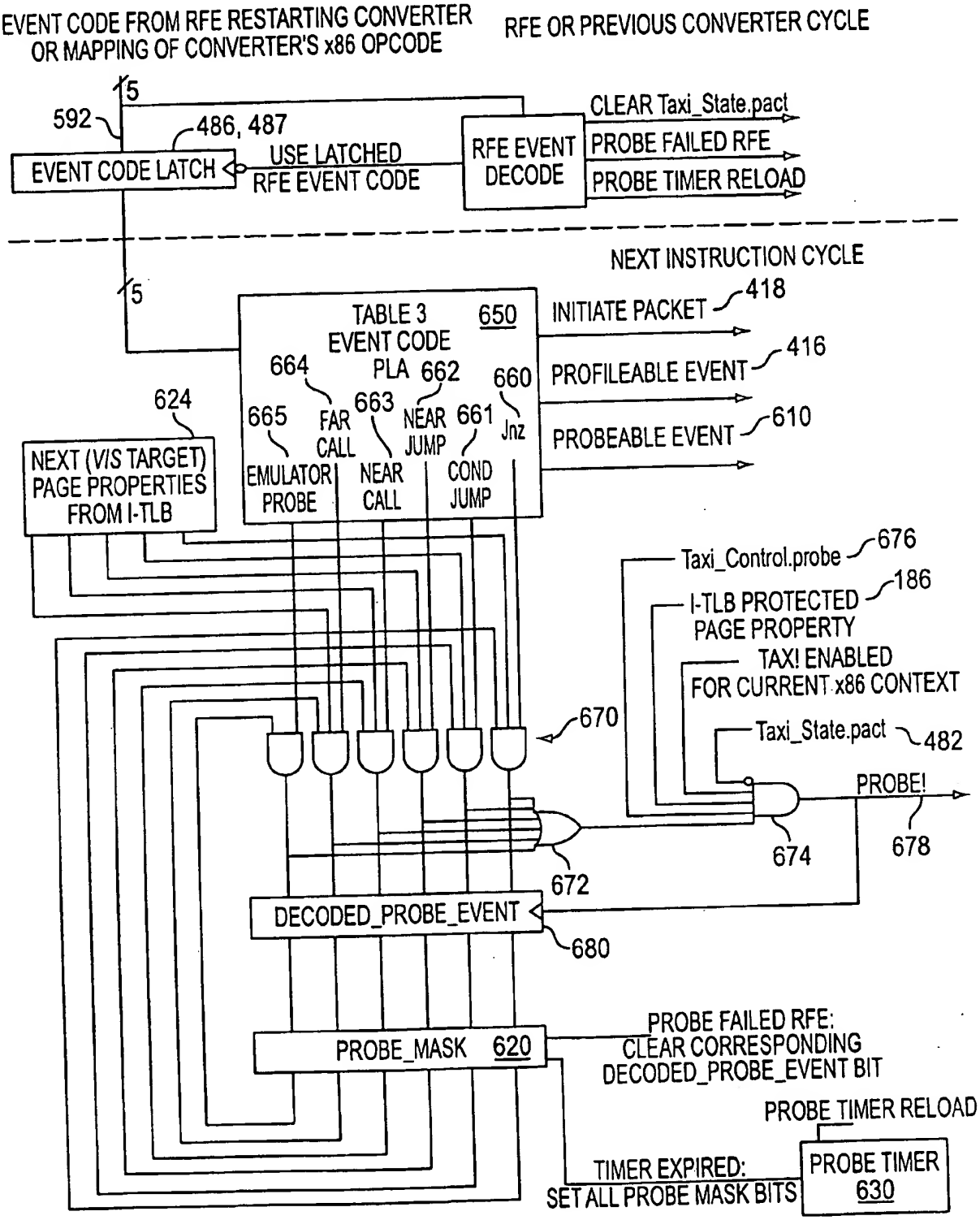


FIG. 6B

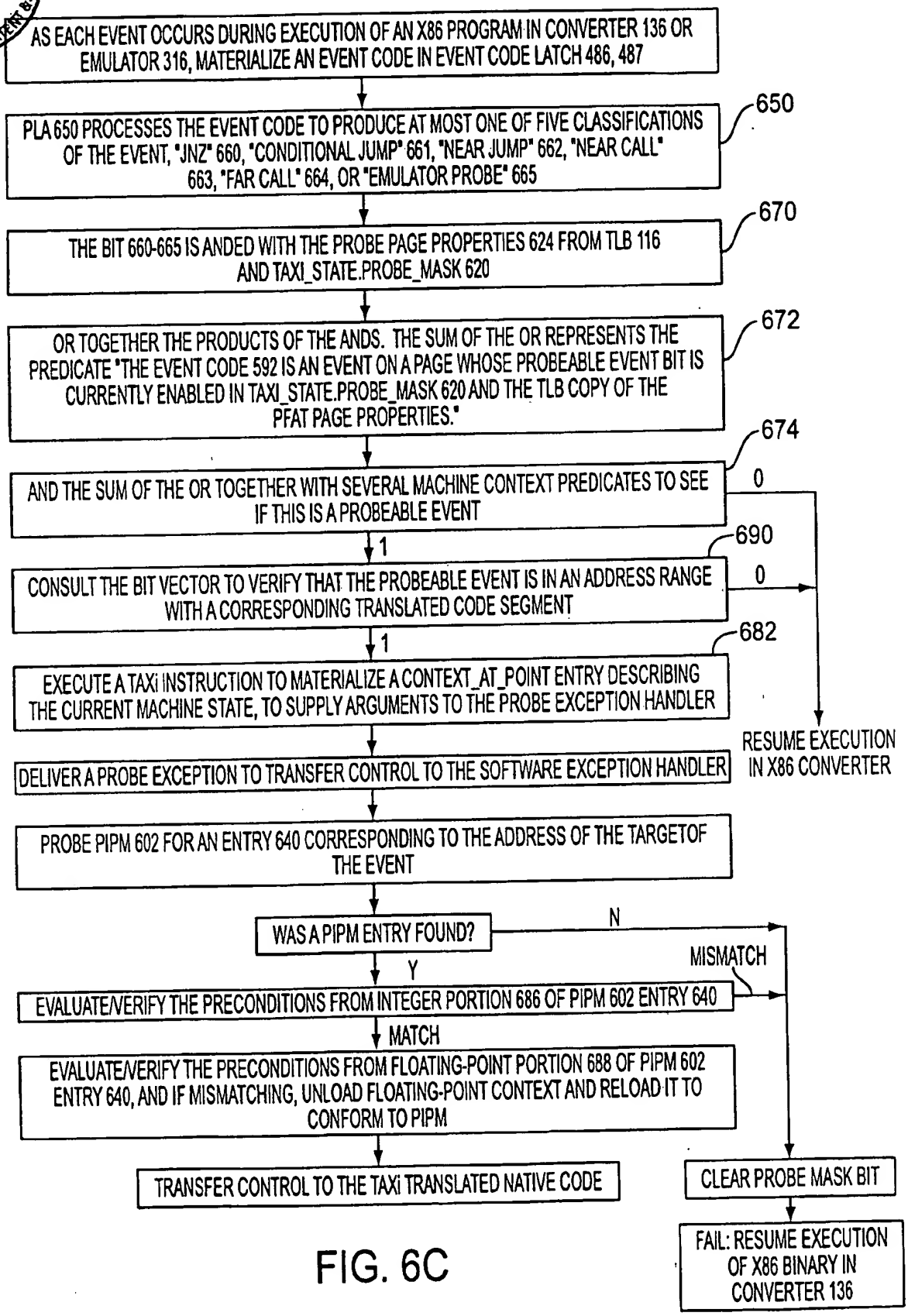


FIG. 6C